



Board of Governors for Higher Education
Department of Higher Education
State of Connecticut

Highlights

FY 2007-09

Biennial Operating Budget
For Higher Education

June 2007

Board of Governors for Higher Education

Frank W. Ridley, Meriden, *Chairman*

Brian J. Flaherty, Watertown, *Vice-Chairman*

William Aniskovich, Branford

William A. Bevacqua, Trumbull

Dorothea E. Brennan, Fairfield

James H. Gatling, Southington

Ross H. Hollander, Bloomfield

Harry H. Penner, Guilford

Jean E. Reynolds, Danbury

Robert Robins, Stamford

Albert B. Vertefeuille, Lebanon

Valerie F. Lewis
Commissioner of Higher Education

Highlights

FY 2007-09 Biennial Operating Budget for Higher Education

- The General Fund budget:
 - calls for \$1,430.1 million for the FY 2007-09 biennium, including \$707.5 million in FY 2008 and \$722.6 million in FY 2009.
 - results in a 8.2 percent increase from FY 2007 to FY 2008, and a 10.5 percent increase between FY 2007 and FY 2009.
 - represents a biennial budget that is less than one percent below requested **current services** and Appropriations Committee recommendations and 3.8 percent above the Governor's recommendations.
 - raises **student financial aid** programs to unprecedented new highs. The Connecticut Independent College Student Grant (CICSG) is increased by \$8.1 million and the Connecticut Aid to Public College Students Grant (CICSG) is increased \$13.7 million. The Capitol Scholarship Program is increased by \$88,385 to apply for an increasing federal match. This funding will allow grants for 10,000 new students in 2008, an increase of more than 45 percent.
 - funds \$4,185,000 of the outstanding **Higher Education State Matching Grant** fifty percent commitment of \$12.1 million from FY 2007 surplus.
 - promises full funding of **collective bargaining settlements** at each constituent unit for each year of the biennium from the Salary Reserve Fund, which will increase the budget total.
- The final budget for the **Department of Higher Education** follows the Appropriations Committee recommendations, with the exception of new funding for the Germany Initiative. In addition to the student financial aid and Higher Education Matching Grant funding mentioned above, there is new funding for the Minority Advancement Program, a new minority initiative in alternate certification, the Washington Center, Opportunities in Veterinary Medicine and \$500,000 for Early Childhood Workforce Education. Finally, there is \$200,000 in surplus funds for a Master Plan for Higher Education.
- The **University of Connecticut** receives restored funding for the Center for Entrepreneurship, new faculty funding of \$1.0 million and \$200,000 each for nursing, water basin planning and the Long Island Sound Observatory. **UConn Health Center** receives FY 2007 deficit funding of \$22.1 million, to address the academic gap for both years of the biennium and partial fringe benefit payments for John Dempsey Hospital employees.
- **Connecticut State University** receives funding for nursing, additional faculty and mentoring, while the **Community-Technical College System** receives money for nursing, additional faculty and two manufacturing programs.
- The current services request for **Charter Oak State College** and the **Distance Learning Consortium** is fully funded as well as a distance learning initiative with the State Department of Education.

**Summary of Appropriation Act
State General Fund**

	<u>2007-08</u>	<u>2008-09</u>	<u>Biennium Total</u>
Total	\$16,431,351,899	\$17,189,803,475	\$33,621,155,374
Legislative Unallocated Lapses	-2,700,000	-2,700,000	-5,400,000
Estimated Unallocated Lapses	-87,780,000	-87,780,000	-175,560,000
General Personal Services Reduction	15,000,000	-14,000,000	1,000,000
General Other Expenses Reductions	-11,000,000	-11,000,000	-22,000,000
DoIT Consultants Lapse		-2,000,000	-2,000,000
 Net State - General Fund	 \$16,344,871,899	 \$17,072,323,475	 \$33,417,195,374

**Additional Budget Implementation Provisions
2007 June Special Session**

➤ **HB 8001 Implementing provisions of the State Budget for the Biennium.**

- Sec. 21(a) carries forward FY 2007 surplus for spending in FY 2008 as follows:
 1. \$100,000 in each year of the biennium to the Department of Higher Education for the Higher Education Master Plan.
 2. \$4,185,000 in FY 2008 to partially fund the outstanding obligation on the fifty percent match for the Higher Education State Matching Grant.
 3. \$400,000 in FY 2008 to the University of Connecticut for a Masters Entry into Nursing and the Long Island Sound Observatory.
 4. \$200,000 in FY 2008 to the University of Connecticut Health Center for Huntington's Disease.
 5. \$520,000 to the Community-Technical College System in FY 2008 for a Nursing Initiative.
 6. \$850,000 in FY 2008 to the State Department of Education for a Distance Learning Initiative and \$6,400,000 for the biennium for Longitudinal Data Systems.
- Sec 35 carries forward up to \$350,000 in the Office of Workforce Competitiveness for CETC Workforce.
- Sec 53 carries forward up to \$500,000 in the Department of Economic and community Development for a state-wide economic development strategic plan.
- Sec 54 carries forward up to \$375,000 in the Department of Economic and community Development for the establishment of a hydrogen fuel cell coalition and industry cluster.
- Sec 59(f) transfers \$500,000 in each year from the Tobacco and Health Trust Fund to the University of Connecticut Health Center for the Connecticut Health Information Network
- Sec 71 allows unexpended funds of the Early Childhood Advisory Cabinet to carry forward for the biennium.
- Sec 73 designates \$228,000 in FY 2008 and \$233,000 in FY 2009 for expenditure from the student protection account in support of Private Occupational School administration.
- Secs 83 and 90 provide up to \$400,000 for a needs-based analysis of the University of Connecticut Health Center facilities plan.
- Sec 84 allows for carry forward of unexpended funds for Biofuels in the Department of Economic and Community Development as follows: \$4,000,000 for production grants, \$1,000,000 to establish a fuel diversification research grant program and \$100,000 to Eastern Connecticut State University for Sustainable Energy.
- Sec 93 allows up to \$3,600,000 for fringe benefits payments in FY 2009 for John Dempsey Hospital state-wide collective bargaining employees.
- Sec 123 funds the University of Connecticut Health Center FY 2007 operating deficit of \$22,100,000.

➤ **HB 8003 Education Implementor**

- Sec 38 funds through a \$500,000 transfer a contract with the Neag School of Education at UConn to administer a field-based support program for up to twelve CommPACT Schools.
- Sec 39 funds through a \$250,000 transfer a contract with Connecticut State University to develop a college readiness grant program to address core subject-matter deficiencies.

**Connecticut Public Higher Education
General Fund Operating Budget**

2007 Estimated to Final Appropriation

	2007 Estimated Expenditures¹	2008 Governor's Recommended²	2008 Appropriations Recommended³	Final Appropriation⁴	\$ Change from Estimated	% Change from Estimated
University of Connecticut	\$222,137,561	\$221,934,131	\$225,434,131	\$225,534,131	\$3,396,570	1.5%
UConn Health Center	77,120,245	80,574,095	99,174,095	94,874,095	\$17,753,850	23.0%
Connecticut State University	153,956,262	156,819,592	158,014,592	158,614,592	\$4,658,330	3.0%
Community-Technical Colleges	144,121,147	147,749,320	149,859,320	149,501,335	\$5,380,188	3.7%
Charter Oak State College	1,930,732	1,867,953	2,063,453	2,063,453	\$132,721	6.9%
CT Distance Learning Consortium	602,928	645,690	645,690	1,495,690	\$892,762	148.1%
Department of Higher Education	53,805,034	74,348,263	76,670,181	75,420,181	\$21,615,147	40.2%
Total	\$653,673,909	\$683,939,044	\$711,861,462	\$707,503,477	\$53,829,568	8.2%

	2007 Estimated Expenditures	2009 Governor's Recommended	2009 Appropriations Recommended	Final Appropriation	\$ Change from Estimated	% Change from Estimated
University of Connecticut	\$222,137,561	\$224,416,160	\$227,716,160	\$229,416,160	\$7,278,599	3.3%
UConn Health Center	77,120,245	81,863,598	107,963,598	106,063,598	\$28,943,353	37.5%
Connecticut State University	153,956,262	158,649,294	159,844,294	160,444,294	\$6,488,032	4.2%
Community-Technical Colleges	144,121,147	150,258,220	153,888,220	152,521,596	\$8,400,449	5.8%
Charter Oak State College	1,930,732	1,978,014	2,175,936	2,175,936	\$245,204	12.7%
CT Distance Learning Consortium	602,928	683,472	683,472	683,472	\$80,544	13.4%
Department of Higher Education	53,805,034	76,278,433	76,826,735	71,291,735	\$17,486,701	32.5%
Total	\$653,673,909	\$694,127,191	\$729,098,415	\$722,596,791	\$68,922,882	10.5%

¹ 2007 estimated expenditures include all surplus appropriations and estimated salary adjustments.

² The Governor's Recommendation excludes unsettled collective bargaining contracts at UConn, CSU and the CTCs with the proviso that settled contracts will be funded through the state pool.

³ The Appropriations recommendation includes carryforward funding of \$4.185 million for the State Matching Grant, \$100,000 for the Master Plan for Higher Education as well as \$520,000 and \$340,000, respectively for the Nursing Initiative at the CTCs.

⁴ The Final Appropriation includes carryforward funding of \$6.355 million as described on the following page.

**University of Connecticut Health Center
2007-09 Biennial Appropriations**

	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
Appropriation for Operations		\$80,168,388	\$81,457,891
Appropriation for Area Health Education Center Programs (AHEC)		505,707	505,707
Appropriation for Academic Gap		13,500,000	20,000,000
Transfer from Tobacco Fund for the Connecticut Health Information Network		500,000	500,000
Surplus appropriation for Huntington's Disease		200,000	200,000
Fringe benefit payments for JDH employees in state-wide collective bargaining			3,600,000
Surplus Appropriation for Inmate Medical Services deficit	2,778,189		
Surplus Appropriation for UCHC deficit	22,100,000		
	\$24,878,189	\$94,874,095	\$106,263,598

Reconciliation of Budget Changes

University of Connecticut

	FY 2008					FY 2009				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u> <u>Requested</u>	<u>Current</u> <u>Services</u>	<u>Governor's</u> <u>Recommended</u>	<u>Governor's</u> <u>Committee</u> <u>Recommended</u>	<u>Final</u> <u>Appropriation</u>	<u>Services</u> <u>Requested</u>	<u>Current</u> <u>Services</u>	<u>Governor's</u> <u>Recommended</u>	<u>Governor's</u> <u>Committee</u> <u>Recommended</u>	<u>Final</u> <u>Appropriation</u>
FY 2007 Estimated Expenditures*	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043	\$221,489,043
Collective Bargaining	3,415,448	4,292,446	4,292,446	4,292,446	4,292,446	4,437,819	6,712,003	6,712,003	6,712,003	6,712,003
Tuition Freeze Inflation		99,580					101,671			
New Facilities	1,210,132	152,642	152,642	152,642	152,642	2,251,263	215,114	215,114	215,114	215,114
Veterinary Diagnostic Laboratory		5,300					5,054			
Center for Entrepreneurship**			(2,000,000)	(1,000,000)				(2,000,000)	(1,000,000)	
Eminent Faculty Initiative**			(2,000,000)		(2,000,000)			(2,000,000)		
Masters Entry into Nursing Program				300,000	200,000				300,000	
Basin Level Water Planning				200,000	200,000					
Faculty					1,000,000					1,000,000
Long Island Sound Coastal Observatory					200,000					
Current Services Requested	\$226,114,623	\$226,039,011	\$221,934,131	\$225,434,131	\$225,534,131	\$228,178,125	\$228,522,885	\$224,416,160	\$227,716,160	\$229,416,160
Change	2.1%	2.1%	0.2%	1.8%	1.8%	3.0%	3.2%	1.3%	2.8%	3.6%

*Reduced by surplus appropriation for:

National Undersea Research Center	\$350,000
Reduced by salary adjustment	\$298,518

**The Governor eliminated funding for these two programs. Appropriations and Final Budget restored \$2 million to Eminent Faculty and \$1 million to the Center for Entrepreneurship.

University of Connecticut Health Center

	FY 2008					FY 2009				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u> <u>Requested</u>	<u>Current</u> <u>Services</u>	<u>Governor's</u> <u>Recommended</u>	<u>Governor's</u> <u>Committee</u> <u>Recommended</u>	<u>Final</u> <u>Appropriation</u>	<u>Services</u> <u>Requested</u>	<u>Current</u> <u>Services</u>	<u>Governor's</u> <u>Recommended</u>	<u>Governor's</u> <u>Committee</u> <u>Recommended</u>	<u>Final</u> <u>Appropriation</u>
FY 2007 Estimated Expenditures*	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746	\$74,628,746
Collective Bargaining/Other	5,368,309	5,966,851	5,945,349	5,945,349	5,945,349	8,568,191	7,255,358	7,234,852	7,234,852	7,234,852
Fringe Benefit Differential				5,000,000					5,000,000	3,600,000
Academic Gap				13,500,000	13,500,000				21,000,000	20,000,000
Area Health Education Center Programs				100,000	100,000				100,000	100,000
Huntington's Disease					200,000					
Connecticut Health Information Network					500,000					500,000
Current Services Requested	\$79,997,055	\$80,595,597	\$80,574,095	\$99,174,095	\$94,874,095	\$83,196,937	\$81,884,104	\$81,863,598	\$107,963,598	\$106,063,598
Change	7.2%	8.0%	8.0%	32.9%	27.1%	11.5%	9.7%	9.7%	44.7%	42.1%

*Reduced by transfer to DSS for:

Disproportionate share per 06-186 Sec. 46	\$3,800,000
---	-------------

Reconciliation of Budget Changes

Connecticut State University

	FY 2008					FY 2009				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>
	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>
FY 2007 Estimated Expenditures	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590	\$153,553,590
Collective Bargaining/Other	2,740,897	3,092,594	3,092,594	3,092,594	3,092,594	2,060,718	4,177,755	4,177,755	4,177,755	4,177,755
Tuition Freeze Inflation	137,801	137,801				278,496	140,695			
New Facilities	457,883	173,408	173,408	173,408	173,408	2,423,843	917,949	917,949	917,949	917,949
Nursing Initiative					295,000				295,000	295,000
Additional Faculty					300,000				300,000	900,000
Mentoring					600,000				600,000	600,000
Current Services Requested	\$156,890,171	\$156,957,393	\$156,819,592	\$158,014,592	\$158,614,592	\$158,316,647	\$158,789,989	\$158,649,294	\$159,844,294	\$160,444,294
Change	2.2%	2.2%	2.1%	2.9%	3.3%	3.1%	3.4%	3.3%	4.1%	4.5%
Reduced by salary adjustment	\$402,672									

Community-Technical College System

	FY 2008					FY 2009				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>
	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>
FY 2007 Estimated Expenditures	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872	\$143,974,872
Collective Bargaining/Other	2,395,395	2,413,477	2,413,477	2,413,477	2,413,477	3,318,160	3,351,937	3,351,937	3,351,937	3,351,937
Tuition Freeze Inflation	131,384	45,379				270,757	46,332			
New Facilities	2,222,495	515,971	515,971	515,971	515,971	5,471,413	2,086,411	2,086,411	2,086,411	2,086,411
Manufacturing Tech Prog Asnuntuck			345,000	345,000	345,000		345,000	345,000	345,000	345,000
Medical Device Manufacturing Prog			500,000	200,000	200,000		500,000	500,000	200,000	200,000
Additional Faculty and Support Staff				1,750,000	1,392,015				3,450,000	2,423,376
Nursing Initiative*				660,000	660,000				480,000	140,000
Current Services Requested	\$148,724,146	\$146,949,699	\$147,749,320	\$149,859,320	\$149,501,335	\$153,035,202	\$150,304,552	\$150,258,220	\$153,888,220	\$152,521,596
Change	3.3%	2.1%	2.6%	4.1%	3.8%	6.3%	4.4%	4.4%	6.9%	5.9%
Reduced by salary adjustment	\$146,275									

* Includes one-time carryforward funding of \$520,000 in 2008 and \$340,000 in 2009.

Reconciliation of Budget Changes

Charter Oak State College & CT Distance Learning Consortium

	FY 2008					FY 2009				
	Current Services Requested	Governor's Current Services	Governor's Recommended	Appropriations' Committee Recommended	Final Appropriation	Current Services Requested	Governor's Current Services	Governor's Recommended	Appropriations' Committee Recommended	Final Appropriation
	FY 2007 Estimated Expenditures*	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511	\$2,413,511
Charter Oak State College	57,370	57,370	57,370	57,370	57,370	167,431	167,431	167,431	167,431	167,431
CT Distance Learning Consortium	42,762	42,762	42,762	42,762	42,762	80,544	80,544	80,544	80,544	80,544
Early Childhood Ed. Coordinator					55,500					57,922
CT-Wage Program					140,000					140,000
Distance Learning Initiative PK-12					850,000					850,000
Current Services Requested	\$2,513,643	\$2,513,643	\$2,513,643	\$2,709,143	\$3,559,143	\$2,661,486	\$2,661,486	\$2,661,486	\$2,859,408	\$2,859,408
Change	4.1%	4.1%	4.1%	12.2%	47.5%	10.3%	10.3%	10.3%	18.5%	18.5%

*Reduced by surplus appropriations for:
 Student Information System \$212,000

Department of Higher Education

	FY 2008					FY 2009				
	Current Services Requested	Governor's Current Services	Governor's Recommended	Appropriations' Committee Recommended	Final Appropriation	Current Services Requested	Governor's Current Services	Governor's Recommended	Appropriations' Committee Recommended	Final Appropriation
	FY 2007 Estimated Expenditures*	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034	\$48,455,034
Collective Bargaining/Other	217,782	103,060	99,436	99,436	99,436	274,607	255,954	252,254	252,254	252,254
Equipment Replacement	22,950	17,700				21,950	(1,000)			
Higher Education Matching Grant	8,650,000			4,185,000	4,185,000				4,185,000	
Minority Advancement Program	47,607	47,607		135,793	135,793	96,215	48,607		138,645	138,645
Alternate Route to Certification	1,618	1,618	135,793	400,000	400,000	3,270	137,445	138,645	400,000	400,000
National Service Act	7,259	7,259				14,670	7,411			
International Initiatives	30,000	1,470		50,000		32,100	1,501		50,000	
Minority Teacher Incentive Program	131,126	10,109				131,126	10,321			
Capitol Scholarship	161,490	185,609		88,385	88,385	311,490	189,506		89,269	89,269
CT Aid to Public College Students	32,678,086	346,939	12,778,566	14,754,888	13,687,549	32,678,086	13,132,791	12,778,566	14,754,888	13,687,549
CT Independent College Student Grant	13,571,782	331,813	12,221,434	8,745,895	8,113,234	13,571,782	12,560,215	12,221,434	8,745,895	8,113,234
CT Aid to Charter Oak	11,642	785		22,000	22,000	11,642	802		22,000	22,000
Loan Forgiveness Program	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)
SECT Higher Education Consortium			100,000				100,000	100,000		
Washington Center			25,000	25,000	25,000		25,000	25,000	25,000	25,000
Early Childhood Education			1,033,000		500,000		1,033,000	2,807,500		500,000
Veterinary Medicine				100,000	100,000				100,000	
NEBHE Dues				8,750	8,750				8,750	8,750
Master Plan for Higher Education				100,000	100,000				100,000	100,000
Current Services Requested	\$103,486,376	\$49,009,003	\$74,348,263	\$76,670,181	\$75,420,181	\$95,101,972	\$75,456,587	\$76,278,433	\$76,826,735	\$71,291,735
Change	113.6%	1.1%	53.4%	58.2%	55.6%	96.3%	55.7%	57.4%	58.6%	47.1%

*Reduced by surplus appropriations for:
 Higher Education Matching Grant \$5,350,000

DEPARTMENT OF HIGHER EDUCATION

Major Object Summary General Fund

	<u>FY 2007 Estimated</u>	<u>FY 2008 Governor's Recommended</u>	<u>FY 2008 Appropriations Recommended***</u>	<u>FY 2008 Final Budget***</u>	<u>FY 2009 Governor's Recommended</u>	<u>FY 2009 Appropriations Recommended***</u>	<u>FY 2009 Final Budget***</u>
Positions	27	30	30	30	30	30	30
Personal Services	\$2,563,941	\$2,713,377	\$2,713,377	\$2,713,377	\$2,866,195	\$2,866,195	\$2,866,195
Other Expenses	222,569	172,569	272,569	272,569	172,569	272,569	272,569
Other Current Expenses							
Minority Advancement	2,267,021	2,267,021	2,402,814	2,402,814	2,267,021	2,405,666	2,405,666
Alternate Route to Cert.	77,033	212,826	477,033	477,033	215,678	477,033	477,033
National Service Act	345,647	345,647	345,647	345,647	345,647	345,647	345,647
International Initiatives	70,000	70,000	120,000	70,000	70,000	120,000	70,000
Minority Teacher Incentive	481,374	481,374	481,374	481,374	481,374	481,374	481,374
Higher Ed. Matching Grant*	5,350,000		4,185,000	4,185,000		4,185,000	
Education and Health Initiatives	550,000	550,000	550,000	550,000	550,000	550,000	550,000
Loan Reimbursement Programs	500,000						
SECT Higher Education Consortium		100,000			100,000		
Subtotal, OCE	\$9,641,075	\$4,026,868	\$8,561,868	\$8,511,868	\$4,029,720	\$8,564,720	\$4,329,720
Equipment**	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Capitol Scholarship Grants	8,838,510	8,838,510	8,926,895	8,926,895	8,838,510	8,927,779	8,927,779
Awds to Children of Disabled Vets	4,000	4,000	4,000	4,000	4,000	4,000	4,000
CICSG	15,800,626	28,022,060	24,546,521	23,913,860	28,022,060	24,546,521	23,913,860
CAPCS	16,520,920	29,299,486	31,275,808	30,208,469	28,299,486	31,275,808	30,208,469
CT Aid to Charter Oak	37,393	37,393	59,393	59,393	37,393	59,393	59,393
NEBHE	175,000	175,000	183,750	183,750	175,000	183,750	183,750
Opportunities in Veterinary Med.			100,000	100,000		100,000	
Washington Center		25,000	25,000	25,000	25,000	25,000	25,000
Early Childhood Ed - Scholarships		381,000			930,000		
Early Childhood Ed - Loan Reimburse		58,000			162,500		
Early Childhood Ed - Incentive Program		56,000			140,000		
ECE - Collaboration with Higher Ed		538,000		500,000	1,575,000		500,000
GRAND TOTAL	\$53,805,034	\$74,348,263	\$76,670,181	\$75,420,181	\$75,278,433	\$76,826,735	\$71,291,735

* The FY 2007 Matching Grant is funded through FY 2005 and 2006 surplus.

** Equipment for 2008 and 2009 is funded through the OPM Capital Equipment Fund at \$17,700 and \$16,700, respectively.

*** \$100,000 in Other Expenses for Master Plan development for both years and \$4.185 million in Higher Ed. Matching Grants are funded one-time from carryforward.

**Department of Higher Education
Summary of Financial Aid Growth**

Fiscal Year	Total Expenditure	Number of Recipients	Cummulative Change		
			#	Expend.	Recipients
1997	\$ 20,409,462	12,667			
1998	\$ 26,589,306	15,203	2,536	30.3%	20.0%
1999	\$ 32,959,606	18,153	5,486	61.5%	43.3%
2000	\$ 37,146,785	17,791	5,124	82.0%	40.5%
2001	\$ 44,362,479	21,512	8,845	117.4%	69.8%
2002	\$ 44,579,931	21,468	8,801	118.4%	69.5%
2003	\$ 38,838,740	19,930	7,263	90.3%	57.3%
2004	\$ 36,406,737	20,189	7,522	78.4%	59.4%
2005	\$ 37,239,198	19,910	7,243	82.5%	57.2%
2006	\$ 39,001,539	21,685	9,018	91.1%	71.2%
Estimated 2007	\$ 41,582,486	22,209	9,542	103.7%	75.3%
Final Budget 2008	\$ 63,957,102	32,279	19,612	213.4%	154.8%

Summary of Capitol Scholarship Impact

Fiscal Year	Total Expenditures*	Number of Recipients	Annual Change		Average Award
			Recipients		
1997	\$ 2,678,557	2,341	(256)	-9.9%	\$ 1,144
1998	\$ 3,380,649	3,093	752	32.1%	\$ 1,093
1999	\$ 5,429,986	4,259	1,166	37.7%	\$ 1,275
2000	\$ 5,548,918	4,247	(12)	-0.3%	\$ 1,307
2001	\$ 5,826,289	4,215	(32)	-0.8%	\$ 1,383
2002	\$ 6,193,344	4,385	170	4.0%	\$ 1,412
2003	\$ 5,360,530	3,709	(676)	-15.4%	\$ 1,445
2004	\$ 5,299,517	3,629	(80)	-2.2%	\$ 1,460
2005	\$ 5,198,761	3,584	(45)	-1.2%	\$ 1,451
2006	\$ 6,857,780	4,842	1,258	35.1%	\$ 1,416
2007	\$ 9,260,940	5,310	468	9.7%	\$ 1,744
Final Budget 2008	\$ 9,834,773	5,497	655	3.5%	\$ 1,789

* Actual/estimated expenditures include federal LEAP/SLEAP funding in addition to the appropriation

Summary of Connecticut Aid to Public College Students Impact

Fiscal Year	Total Expenditures	Number of Recipients	Annual Change		Average Award	Percent Funded
			Recipients			
1997	\$ 5,562,888	6,531	15	0.2%	\$ 852	26.0%
1998	\$ 8,696,398	8,037	1,506	23.1%	\$ 1,078	40.0%
1999	\$ 11,270,089	9,585	1,548	19.3%	\$ 1,176	51.0%
2000	\$ 14,493,668	9,060	(525)	-5.5%	\$ 1,600	65.0%
2001	\$ 19,759,261	12,510	3,450	38.1%	\$ 1,572	81.3%
2002	\$ 19,609,658	12,314	(196)	-1.6%	\$ 1,592	77.3%
2003	\$ 17,539,728	11,821	(493)	-4.0%	\$ 1,475	60.5%
2004	\$ 16,039,728	11,078	(743)	-6.3%	\$ 1,447	49.8%
2005	\$ 16,520,920	12,043	965	8.7%	\$ 1,372	46.2%
2006	\$ 16,520,920	12,289	246	2.0%	\$ 1,344	40.0%
Estimated 2007	\$ 16,520,920	12,292	3	0.0%	\$ 1,344	35.7%
Final Budget 2008	\$ 30,208,469	20,139	7,847	63.8%	\$ 1,500	61.4%

Summary of Connecticut Independent College Student Grant Impact

Fiscal Year	Total Expenditures	Number of Recipients	Annual Change		Average Award	Percent Funded
			Recipients			
1997	\$ 12,168,017	3,795	81	2.2%	\$ 3,206	74.0%
1998	\$ 14,512,259	4,073	278	7.3%	\$ 3,563	84.0%
1999	\$ 16,259,531	4,309	236	5.8%	\$ 3,773	89.0%
2000	\$ 17,104,199	4,484	175	4.1%	\$ 3,814	93.5%
2001	\$ 18,776,929	4,787	303	6.8%	\$ 3,964	99.9%
2002	\$ 18,776,929	4,769	(17)	-0.4%	\$ 3,956	97.5%
2003	\$ 15,938,482	4,400	(369)	-7.7%	\$ 3,622	86.3%
2004	\$ 15,067,492	4,517	117	2.7%	\$ 3,344	53.9%
2005	\$ 15,519,517	4,283	(234)	-5.2%	\$ 3,634	57.9%
2006	\$ 15,622,839	4,554	271	6.3%	\$ 3,430	59.9%
Estimated 2007	\$ 15,800,626	4,607	324	1.2%	\$ 3,430	60.7%
Final Budget 2008	\$ 23,913,860	6,643	2,036	44.2%	\$ 3,600	81.4%

Higher Education Matching Grant Program Status

Calendar Year Gifts Raised	1998	1999	2000	2001	2002	2003	2004	2005	2006	50%	2005	2006	25%
Fiscal Year Match Paid	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007³	FY 2008	Total	FY 2007	FY 2008	Total
Endowment Eligible Gifts Raised													
University of Connecticut ¹	10,637,771	12,800,000	15,000,000	13,969,541	13,215,088	9,201,354	13,380,745	12,609,044	5,978,975	106,792,518	3,773,868	4,504,902	8,278,770
Connecticut State University	1,291,113	1,414,161	2,295,508	4,313,721	2,906,558	2,069,746	2,544,339	1,315,296	881,239	19,031,681	2,105,577	1,858,097	3,963,674
Community Colleges	841,574	1,237,324	1,139,499	1,774,084	708,975	1,194,465	1,484,969	563,641	-	8,944,531	885,638	2,070,247	2,955,885
Charter Oak State College	111,772	105,353	112,731	114,334	46,135	32,799	59,818	2,723	-	585,665	37,580	67,882	105,462
Total Endowment Eligible Gifts Raised	12,882,230	15,556,838	18,547,738	20,171,680	16,876,756	12,498,364	17,469,871	14,490,704	6,860,214	135,354,396	6,802,663	8,501,128	15,303,791
State Match													
University of Connecticut ¹	7,500,000	5,000,000	7,500,000	6,984,771	6,607,544	4,600,677	6,690,373	6,304,522	2,989,488	54,177,374	943,467	1,126,226	2,069,693
Connecticut State University	645,557	707,081	1,147,754	2,156,861	1,453,279	1,034,873	1,272,170	657,648	440,620	9,515,841	526,394	464,524	990,919
Community Colleges	420,787	618,662	569,750	887,042	354,488	597,233	742,485	281,821	-	4,472,266	221,410	517,562	738,971
Charter Oak State College	55,886	52,677	56,366	57,167	23,068	16,400	29,909	1,362	-	292,833	9,395	16,971	26,366
Total State Match	8,622,230	6,378,419	9,273,869	10,085,840	8,438,378	6,249,182	8,734,936	7,245,352	3,430,107	68,458,312	1,700,666	2,125,282	3,825,948
State Match Awarded	8,622,230	6,378,419	8,727,652	-	-	25,300,000	2,000,000	5,350,000	4,185,000	60,563,301			
Unpaid Obligation²	-	-	546,217	10,085,840	8,438,378	(19,050,818)	6,734,936	1,895,352	(754,893)	7,895,012	1,700,666	2,125,282	3,825,948

<p>2005 gifts represent 50% match gifts not subject to the Budget Reserve cap only; These are multi-year gifts received prior to 2005 and 2005 gifts received prior to 6/30/05.</p>	<p>2006 gifts represent 50% match gifts not subject to the Budget Reserve cap only; These are multi-year gifts received prior to 2005 and 2005 gifts received prior to 6/30/05.</p>	<p>2005 gifts raised after 6/30/05 subject to 25% match and Budget Reserve cap. No request for funding has been made.</p>	<p>2006 gifts raised after 6/30/05 subject to 25% match and Budget Reserve cap. No request for funding has been made.</p>
---	---	---	---

- Under UConn 2000, the University of Connecticut received 1:1 endowment matches in FY 1998 - \$9,116,795 and FY 1999 - \$6,778,823, bringing **the total state match paid through FY 2007 to \$72,273,920**. In addition, under the State Higher Education Matching Grant, \$4.4 million of the \$7.5 million that UConn received in FY 2000 was a 1:1 match in accordance with the last year of the UConn 2000 Match commitment.
- The unpaid balance represents the unpaid balance from eligible gifts raised during calendar years 2004 and 2005 plus a shortfall of \$19,617 from calendar year 2003.
- Under PA 05-3 and 06-135, multiple year gifts made before 2005 and 2005 gifts received prior to 6/30/05 will be matched at 50% and not subject to the Budget Reserve cap. Gifts received after 6/30/05 will be matched at 25% and are subject to the Budget Reserve cap. **Twenty-five percent match gifts are recorded in the far column, but not requested.**