



Board of Governors for Higher Education  
Department of Higher Education  
State of Connecticut

**Highlights**

**FY 2005-07**

**Mid-Biennial Operating Budget  
For Higher Education**

**May 2006**

## **Board of Governors for Higher Education**

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## Highlights

### Approved Mid-Biennial Changes FY 2007 Operating Budget for Higher Education

- The General Fund budget for FY 2007:
  - provides a total of \$632.6 million, an increase of \$10.6 million or 1.7 percent, from the original appropriation and 3.5 percent more than 2006 estimated expenditures.
  - increases the **Capitol Scholarship Program** by \$2.0 million, or just over 29 percent. These additional funds will provide nearly 500 more grants to needy students for a total of 5,200, the highest number of recipients ever, with an average award increase of \$250.
  - creates two new **loan reimbursement programs** in the Department of Higher Education, funded at \$250,000 each, for doctoral graduates in economically valuable fields and newly hired engineering graduates.
  - adds \$7.3 million in **new program initiatives** as noted below.
  - includes **additional block grant support** of \$1.7 million as follows: University of Connecticut - \$646,000, the UConn Health Center - \$323,000, Connecticut State University - \$452,200 and the Community-Technical Colleges System - \$278,800, and exempts the constituent units from mandated lapses of \$2.3 million.
  - designates \$3.9 million of the **FY 2006 surplus** to offset funding shortfalls and pay half of the remaining 2006 obligation under the original Higher Education State Matching Grant program.
- In addition to the block grant support noted above:
  - **UConn** receives \$2 million for faculty recruitment, \$2 million for a new Center for Entrepreneurship, \$350,000 in one-time surplus funding to offset reduced federal funding for the National Undersea Research Center at Avery Point and \$50,000 in expansion funding for the Veterinary Diagnostic Lab.
  - **UConn Health Center** obtains \$200,000 from the Tobacco and Health Trust Fund to offset the anticipated loss of federal support for the Health Professions Partnership Initiative, a program focused on attracting minority students to the health care field.
  - **CSU** receives support for the Institute of Crime and Justice (\$100,000) and case studies of legislative issues (\$15,000).
  - **CTC System** garners \$410,000 to initiate a math/science experience for 500 high school students.
  - **Charter Oak State College** receives one-time funding of \$212,000 to support about one-third of the cost of a new student information system and \$40,000 for a new Women in Transition program coordinator.

## **Additional Highlights/Implementation Provisions 2006 Legislative Session Wrap Up**

- **SB 335 AAC Private Occupational Schools.** The legislation gives the Commissioner of Higher Education additional regulatory authority regarding Private Occupational Schools operating in Connecticut.
- **HB 5845 AA With respect to the expenditures and revenues of state agencies Sec. 76.** Allows a tax credit for contributions to the Connecticut Higher Education Trust (CHET).
- **HB 5846 AA Implementing provisions of the budget Sec. 91.** Designates \$50,000 from the Office of Workforce Competitiveness' Nanotechnology Collaboration Initiative for identification of model nanotechnology curricula and assessment of application in Connecticut colleges and universities.
- **HB 5847 PA 06-135 AA Implementing provisions of the budget concerning education.**
  - Sec. 7-11 Reinstates a fifty percent match in the Higher Education State Matching Grant for gifts made between January 1, 2005 and June 30, 2005, and exempts the payment of the match on these gifts from the Budget Reserve Fund restriction imposed in PA 05-251.
  - Sec 6 allows students enrolled in the "A Better Chance Program" in high school to be eligible for in-state tuition rates for public institutions of higher education.
  - Sec 25 allows, within available appropriations, development of an alternate route to certification in the areas of early childhood education and school administrators and superintendents.
- **HB 5758 AAC Minor revisions to education statutes.** Sec 3 requires a National Corps of teachers to be allowed to teach in Connecticut under certain requirements set forth by both the Commissioner of Higher Education and the Commission of Education
- **SB 14 PA 06-34 AAC the Board of Trustees for the Community Technical Colleges.** After 2010 two members of the board of trustees must have certain accounting principal qualifications.
- **HB 5527 PA 06-103 AAC Textbook affordability.** Mandates publishers to make prices available for their products and has the public institutions of higher education develop a new financial aid policy to make financial aid available within the first week of the term.
- **HB 5695 PA 06-134 AAC Construction Oversight at the University of Connecticut.** The legislation sets forth new policies and procedures for the University Board of Trustees to provide more independent oversight in the construction of UCONN 21<sup>st</sup> Century.

**Connecticut Public Higher Education  
General Fund Operating Budget  
Mid-Biennial Adjustments**

**Comparison to Original Appropriation**

	<u>2005 Actual</u>	<u>2006 Estimated</u>	<u>2007 Appropriation</u>	<u>2007 Governor's Recommendation</u>	<u>2007 Appropriations Recommendation</u>	<u>2007 Final Budget</u>	<u>\$ Change from Appropriation</u>	<u>% Change from Appropriation</u>
University of Connecticut	\$200,617,140	\$205,799,877	\$212,977,207	\$212,998,684	\$215,298,684	\$218,044,684	5,067,477	2.4%
UConn Health Center	73,238,772	76,161,057	76,500,920	76,597,245	78,014,245	77,120,245	619,325	0.8%
Connecticut State University	138,480,956	145,395,624	148,226,138	148,597,172	150,042,172	149,164,372	938,234	0.6%
Community-Technical Colleges	126,920,929	135,343,412	136,108,339	136,763,980	140,743,980	137,452,780	1,344,441	1.0%
Charter Oak State College	1,559,124	1,649,825	1,678,732	1,718,732	1,930,732	1,930,732	252,000	15.0%
CT Distance Learning Consortium	560,272	594,018	560,272	602,928	602,928	602,928	42,656	7.6%
Dept. of Higher Education	43,601,093	46,022,989	45,959,081	48,775,461	51,140,461	48,275,461	2,316,380	5.0%
<b>Total</b>	<b>\$584,978,286</b>	<b>\$610,966,802</b>	<b>\$622,010,689</b>	<b>\$626,054,202</b>	<b>\$637,773,202</b>	<b>\$632,591,202</b>	<b>10,580,513</b>	<b>1.7%</b>
State Matching Grant	\$25,300,000	\$2,000,000	\$2,000,000	\$2,000,000	\$8,700,000	\$5,350,000		

**Comparison to Estimated FY 2006**

	<u>2005 Actual</u>	<u>2006 Estimated</u>	<u>2007 Appropriation</u>	<u>2007 Governor's Recommendation</u>	<u>2007 Appropriations Recommendation</u>	<u>2007 Final Budget</u>	<u>\$ Change from 2006</u>	<u>% Change from 2006</u>
University of Connecticut	\$200,617,140	\$205,799,877	\$212,977,207	\$212,998,684	\$215,298,684	\$218,044,684	12,244,807	5.9%
UConn Health Center	73,238,772	76,161,057	76,500,920	76,597,245	78,014,245	77,120,245	959,188	1.3%
Connecticut State University	138,480,956	145,395,624	148,226,138	148,597,172	150,042,172	149,164,372	3,768,748	2.6%
Community-Technical Colleges	126,920,929	135,343,412	136,108,339	136,763,980	140,743,980	137,452,780	2,109,368	1.6%
Charter Oak State College	1,559,124	1,649,825	1,678,732	1,718,732	1,930,732	1,930,732	280,907	17.0%
CT Distance Learning Consortium	560,272	594,018	560,272	602,928	602,928	602,928	8,910	1.5%
Dept. of Higher Education	43,601,093	46,022,989	45,959,081	48,775,461	51,140,461	48,275,461	2,252,472	4.9%
<b>Total</b>	<b>\$584,978,286</b>	<b>\$610,966,802</b>	<b>\$622,010,689</b>	<b>\$626,054,202</b>	<b>\$637,773,202</b>	<b>\$632,591,202</b>	<b>21,624,400</b>	<b>3.5%</b>

**Connecticut Public Higher Education System**  
**FY 2007 Final Budget**  
**Mid-Biennial Review**

	<b>FY 2006 Estimated Expenditures</b>	<b>FY 2007 Original Appropriation</b>	<b>FY 2007 Salary Adjustments</b>	<b>FY 2007 Technical Adjustments<sup>1</sup></b>	<b>FY 2007 Current Services Adjustments<sup>2</sup></b>	<b>FY 2007 Surplus Funded Adjustments<sup>3</sup></b>	<b>FY 2007 Expansion<sup>4</sup></b>	<b>FY 2007 Final Budget</b>	<b>Percent Change Approp.</b>
University of Connecticut	\$205,799,877	\$212,977,207	\$ 21,477	\$ -	\$ 646,000	\$ 350,000	\$ 4,050,000	<b>\$218,044,684</b>	<b>2.4%</b>
UConn Health Center	76,161,057	76,500,920	96,325	-	323,000	-	200,000	<b>77,120,245</b>	<b>0.8%</b>
Connecticut State University	145,395,624	148,226,138	283,800	87,234	452,200	-	115,000	<b>149,164,372</b>	<b>0.6%</b>
Community-Technical Colleges	135,343,412	136,108,339	655,641	-	278,800	-	410,000	<b>137,452,780</b>	<b>1.0%</b>
Charter Oak State College	1,649,825	1,678,732	-	-	-	212,000	40,000	<b>1,930,732</b>	<b>15.0%</b>
CT Distance Learning Consortium	594,018	602,928	-	-	-	-	-	<b>602,928</b>	<b>0.0%</b>
Department of Higher Education	<u>48,022,989</u>	<u>47,959,081</u>	<u>-</u>	<u>(183,620)</u>	<u>-</u>	<u>3,350,000</u>	<u>2,500,000</u>	<b><u>53,625,461</u></b>	<b>11.8%</b>
<b>Total</b>	<b>\$612,966,802</b>	<b>\$624,053,345</b>	<b>\$1,057,243</b>	<b>\$(96,386)</b>	<b>\$1,700,000</b>	<b>\$3,912,000</b>	<b>\$7,315,000</b>	<b>\$637,941,202</b>	<b>2.2%</b>

# DEPARTMENT OF HIGHER EDUCATION

## Major Object Summary General Fund

	<b>FY 2005 Actual</b>	<b>FY 2006 Estimated Expenditures</b>	<b>FY 2007 Final Budget</b>	<b>FY 2007 Governor Recommended</b>	<b>FY 2007 Appropriations Recommended</b>	<b>FY 2007 Final Budget</b>
Personal Services	\$2,219,266	<b>\$2,437,544</b>	\$2,434,368	\$2,434,368	\$2,434,368	<b>\$2,434,368</b>
Other Expenses	149,110	<b>216,656</b>	172,569	172,569	322,569	<b>172,569</b>
Other Current Expenses						
Minority Advancement	2,484,820	<b>2,267,021</b>	2,267,021	2,267,021	2,267,021	<b>2,267,021</b>
Alternate Route to Cert.	77,033	<b>77,033</b>	77,033	77,033	302,033	<b>77,033</b>
National Service Act	298,347	<b>345,647</b>	345,647	345,647	345,647	<b>345,647</b>
International Initiatives	69,999	<b>70,000</b>	70,000	70,000	120,000	<b>70,000</b>
Minority Teacher Incentive	444,285	<b>462,748</b>	481,374	481,374	481,374	<b>481,374</b>
Higher Ed. Matching Grant	25,300,000	<b>2,000,000</b>	2,000,000	2,000,000	8,700,000	<b>5,350,000</b>
Education and Health Initiatives	350,000	<b>550,000</b>	550,000	550,000	550,000	<b>550,000</b>
WCSU Greek Chair		<b>500,000</b>				
Loan Forgiveness Program				3,000,000	500,000	<b>500,000</b>
Information Technology Pilot	29,368					
Subtotal, OCE	\$29,053,852	<b>\$6,272,449</b>	\$5,791,075	\$8,791,075	\$13,266,075	<b>\$9,641,075</b>
Equipment	1,000	<b>1,000</b>	1,000	1,000	1,000	<b>1,000</b>
Capitol Scholarship Grants	5,160,907	<b>6,838,510</b>	6,751,557	6,838,510	9,278,510	<b>8,838,510</b>
Awds to Children of Disabled Vets	1,200	<b>4,000</b>	4,000	4,000	4,000	<b>4,000</b>
CICSG	15,519,517	<b>15,519,517</b>	16,071,199	15,800,626	15,800,626	<b>15,800,626</b>
CAPCS	16,520,920	<b>16,520,920</b>	16,520,920	16,520,920	16,520,920	<b>16,520,920</b>
CT Aid to Charter Oak	35,320	<b>37,393</b>	37,393	37,393	37,393	<b>37,393</b>
NEBHE	300,000	<b>175,000</b>	175,000	175,000	175,000	<b>175,000</b>
<b>GRAND TOTAL</b>	<b>\$68,961,092</b>	<b>\$48,022,989</b>	<b>\$47,959,081</b>	<b>\$50,775,461</b>	<b>\$57,840,461</b>	<b>\$53,625,461</b>

**Department of Higher Education  
Summary of Financial Aid Growth**

Fiscal Year	Total Expenditure	Number of Recipients	Cummulative Change		
			#	Expend.	Recipients
1997	\$ 20,409,462	12,667			
1998	\$ 26,589,306	15,203	2,536	30.3%	20.0%
1999	\$ 32,959,606	18,153	5,486	61.5%	43.3%
2000	\$ 37,146,785	17,791	5,124	82.0%	40.5%
2001	\$ 44,362,479	21,512	8,845	117.4%	69.8%
2002	\$ 44,579,931	21,468	8,801	118.4%	69.5%
2003	\$ 38,838,740	19,930	7,263	90.3%	57.3%
2004	\$ 36,406,737	20,189	7,522	78.4%	59.4%
2005	\$ 37,239,198	19,910	7,243	82.5%	57.2%
Estimated 2006	\$ 38,878,947	21,042	8,375	90.5%	66.1%
Budget 2007	\$ 41,160,056	21,603	8,936	101.7%	70.5%

**Summary of Capitol Scholarship Impact**

Fiscal Year	Total Expenditures*	Number of Recipients	Annual Change Recipients		Average Award
1997	\$ 2,678,557	2,341	(256)	-9.9%	\$ 1,144
1998	\$ 3,380,649	3,093	752	32.1%	\$ 1,093
1999	\$ 5,429,986	4,259	1,166	37.7%	\$ 1,275
2000	\$ 5,548,918	4,247	(12)	-0.3%	\$ 1,307
2001	\$ 5,826,289	4,215	(32)	-0.8%	\$ 1,383
2002	\$ 6,193,344	4,385	170	4.0%	\$ 1,412
2003	\$ 5,360,530	3,709	(676)	-15.4%	\$ 1,445
2004	\$ 5,299,517	3,629	(80)	-2.2%	\$ 1,460
2005	\$ 5,198,761	3,584	(45)	-1.2%	\$ 1,451
Estimated 2006	\$ 6,838,510	4,716	1,132	31.6%	\$ 1,450
Budget 2007	\$ 8,838,510	5,199	483	10.2%	\$ 1,700

\* Actual/estimated expenditures include federal LEAP/SLEAP funding in addition to the appropriation

**Summary of Connecticut Aid to Public College Students Impact**

Fiscal Year	Total Expenditures	Number of Recipients*	Annual Change Recipients		Average Award	Percent Funded
1997	\$ 5,562,888	6,531	15	0.2%	\$ 852	26.0%
1998	\$ 8,696,398	8,037	1,506	23.1%	\$ 1,078	40.0%
1999	\$ 11,270,089	9,585	1,548	19.3%	\$ 1,176	51.0%
2000	\$ 14,493,668	9,060	(525)	-5.5%	\$ 1,600	65.0%
2001	\$ 19,759,261	12,510	3,450	38.1%	\$ 1,572	81.3%
2002	\$ 19,609,658	12,314	(196)	-1.6%	\$ 1,592	77.3%
2003	\$ 17,539,728	11,821	(493)	-4.0%	\$ 1,475	60.5%
2004	\$ 16,039,728	11,078	(743)	-6.3%	\$ 1,447	49.8%
2005	\$ 16,520,920	12,043	965	8.7%	\$ 1,372	46.2%
Estimated 2006	\$ 16,520,920	12,015	(28)	-0.2%	\$ 1,375	40.0%
Budget 2007	\$ 16,520,920	12,015	-	0.0%	\$ 1,375	35.7%

**Summary of Connecticut Independent College Student Grant Impact**

Fiscal Year	Total Expenditures	Number of Recipients	Annual Change Recipients		Average Award	Percent Funded
1997	\$ 12,168,017	3,795	81	2.2%	\$ 3,206	74.0%
1998	\$ 14,512,259	4,073	278	7.3%	\$ 3,563	84.0%
1999	\$ 16,259,531	4,309	236	5.8%	\$ 3,773	89.0%
2000	\$ 17,104,199	4,484	175	4.1%	\$ 3,814	93.5%
2001	\$ 18,776,929	4,787	303	6.8%	\$ 3,964	99.9%
2002	\$ 18,776,929	4,769	(17)	-0.4%	\$ 3,956	97.5%
2003	\$ 15,938,482	4,400	(369)	-7.7%	\$ 3,622	86.3%
2004	\$ 15,067,492	4,517	117	2.7%	\$ 3,344	53.9%
2005	\$ 15,519,517	4,283	(234)	-5.2%	\$ 3,634	57.9%
Estimated 2006	\$ 15,519,517	4,311	28	0.7%	\$ 3,600	59.5%
Budget 2007	\$ 15,800,626	4,389	106	1.8%	\$ 3,600	60.7%