



Board of Governors for Higher Education  
Department of Higher Education  
State of Connecticut

**Highlights**

**FY 2005-07**  
**Mid-Biennial**  
**Budget Adjustments**  
**for Higher Education**

**Appropriations Committee**  
**Recommendations**

**April 2006**

## **Board of Governors for Higher Education**

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## Highlights

### Appropriations Recommended FY 2007 Mid-Biennial Operating Budget for Higher Education

- The Appropriations Committee recommended General Fund budget for FY 2007:
  - provides \$637.8 million , an increase of \$15.8 million or 2.5 percent, from the original appropriation and an increase of \$11.7 million, or 1.9 percent, from the Governor’s proposed budget.
  - increases **student financial aid** funding by \$2.4 million, or 35.7 percent, for the Capitol Scholarship Program. This will mean more than 800 additional grants to students in FY 2007 at the new maximum grant of \$3,000. In addition, two new **loan reimbursement programs** are being proposed at \$250,000 each: “You Belong” for doctoral graduates in economically valuable fields and “Engineering Connecticut”.
  - adds \$2.8 million in **program expansions** ranging from the Veterinary Diagnostic Lab at the University of Connecticut to case studies of legislative issues at Connecticut State University.
  - includes **additional block grant support** of \$5.0 million divided among the University of Connecticut (\$1.9 million), the UConn Health Center (\$950,000), Connecticut State University (\$1.35 million) and the Community-Technical Colleges System (\$850,000).
  - designates \$9.3 million in projected **FY 2006 surplus funding** to offset funding shortfalls and pay the 2006 obligation for the Higher Education State Matching Grant.
- The Appropriations Committee recommended FY 2007 budget for the **Department of Higher Education** adds \$225,000 to the Alternate Route to Certification to fund an alternate route for administrators; provides \$150,000, in addition to the \$2.9 million in financial aid and loan reimbursement funding noted above, for a student financial aid database; increases funding for the Germany Initiative by \$50,000; and funds the 2006 liability for the Higher Education Matching Grant of \$6.7 million.
- In addition to the block grant support noted, the **University of Connecticut** received \$350,000 in one-time surplus funding to offset reduced federal funding for the National Undersea Research Center at Avery Point and \$50,000 in expansion funding for the Veterinary Diagnostic Lab.
- The **UConn Health Center** received the additional block grant funding and \$467,000 to offset the anticipated loss of federal support for the Health Professions Partnership Initiative which provides education and support services to bring minority students into the health care field.
- The **Connecticut State University** received block grant support as well as support for the Institute of Crime and Justice (\$100,000) and case studies of legislative issues (\$15,000).
- The **Community-Technical College System** received \$2 million in one-time funding for increased energy needs, \$410,000 to initiate a math/science experience for 500 high school students, \$750,000 for fifteen new full-time faculty necessitated by enrollment increases and block grant support consistent with the other constituent units.
- **Charter Oak State College** received one-time funding of \$212,000 to offset approximately one-third of the cost of a new student information system..

## Connecticut Public Higher Education General Fund Operating Budget

### Mid-Biennial Adjustments

|   | <b>2005<br/>Actual<br/><u>Expenditures</u></b> | <b>2006<br/><u>Appropriation</u></b> | <b>2006<br/>Estimated<br/><u>Expenditures</u></b> | <b>Change<br/>from<br/><u>Appropriation</u></b> | <b>Change<br/>from<br/><u>Appropriation</u></b> |
|---|--|--------------------------------------|---|---|---|
| University of Connecticut                   | \$200,617,140                                  | \$205,219,028                        | \$205,799,877                                     | \$580,849                                       | 0.3%  |
| UConn Health Center                         | 73,238,772                                     | 75,956,264                           | 76,161,057  | 204,793   | 0.3%  |
| Connecticut State University                | 138,480,956                                    | 143,760,396                          | 145,395,624                                       | 1,635,228                                       | 1.2%  |
| Community-Technical Colleges                | 126,920,929                                    | 133,874,773                          | 135,343,412                                       | 1,468,639                                       | 1.2%  |
| Charter Oak State College                   | 1,559,124                                      | 1,649,825                            | 1,649,825   | 0   | 0.0%  |
| CT Distance Learning Consortium             | 560,272  | 594,018                              | 594,018   | 0   | 0.0%  |
| Department of Higher Education <sup>1</sup> | 43,601,093                                     | 45,443,347                           | 46,022,989  | 579,642   | 1.3%  |
| <b>Total</b>                                | <b>\$584,978,286</b>                           | <b>\$606,497,651</b>                 | <b>\$610,966,802</b>                              | <b>\$4,469,151</b>                              | <b>0.8%</b>                                     |
| State Matching Grant                        | \$25,300,000                                   | \$2,000,000                          | \$2,000,000                                       | 0   | 0.0%  |

  

|   | <b>2007<br/><u>Appropriation</u></b> | <b>2007<br/>Governor's<br/><u>Recommended</u></b> | <b>2007<br/>Appropriations<br/><u>Recommended</u></b> | <b>Change<br/>from<br/><u>Governor's</u></b> | <b>Change<br/>from<br/><u>Governor's</u></b> |
|---|--------------------------------------|---|---|--|--|
| University of Connecticut                   | \$212,977,207                        | \$212,998,684                                     | \$215,298,684   | \$2,300,000                                  | 1.1%   |
| UConn Health Center                         | 76,500,920                           | 76,597,245  | 78,014,245  | 1,417,000                                    | 1.9%   |
| Connecticut State University                | 148,226,138                          | 148,597,172                                       | 150,042,172   | 1,445,000                                    | 1.0%   |
| Community-Technical Colleges                | 136,108,339                          | 136,763,980                                       | 140,743,980   | 3,980,000                                    | 2.9%   |
| Charter Oak State College                   | 1,678,732                            | 1,718,732   | 1,930,732   | 212,000                                      | 12.6%  |
| CT Distance Learning Consortium             | 560,272                              | 602,928   | 602,928   | 0  | 0.0%   |
| Department of Higher Education <sup>1</sup> | 45,959,081                           | 48,775,461  | 51,140,461  | 2,365,000                                    | 5.1%   |
| <b>Total</b>                                | <b>\$622,010,689</b>                 | <b>\$626,054,202</b>                              | <b>\$637,773,202</b>                                  | <b>\$11,719,000</b>                          | <b>1.9%</b>                                  |
| State Matching Grant                        | \$2,000,000                          | \$2,000,000                                       | \$8,700,000   | 6,700,000                                    | 335.0%                                       |

<sup>1</sup> 2005 expenditures exclude the one-time surplus payments of the Higher Education State Matching Grant shown below the line.

**Connecticut Public Higher Education System  
FY 2007 Governor's Adjustments  
Mid-Biennial Review**

|                                 | <u>FY 2006<br/>Estimated<br/>Expenditures</u> | <u>FY 2007<br/>Original<br/>Appropriation</u> | <u>FY 2007<br/>Salary<br/>Adjustments</u> | <u>FY 2007<br/>Technical<br/>Adjustments</u> | <u>FY 2007<br/>Current Services<br/>Adjustments</u> | <u>FY 2007<br/>Surplus Funded<br/>Adjustments</u> | <u>FY 2007<br/>Expansion</u> | <u>FY 2007<br/>Governor's<br/>Recommended</u> | <u>Percent<br/>Change<br/>Approp.</u> |
|---------------------------------|---|---|---|--|---|---|------------------------------|---|---------------------------------------|
| University of Connecticut       | \$205,799,877                                 | \$212,977,207                                 | \$ 21,477                                 | \$ -   | \$ -  | \$ -  | \$ -                         | \$212,998,684                                 | 0.0%                                  |
| UConn Health Center             | 76,161,057                                    | 76,500,920                                    | 96,325                                    | -  | -   | -   | -                            | 76,597,245                                    | 0.1%                                  |
| Connecticut State University    | 145,395,624                                   | 148,226,138                                   | 283,800                                   | 87,234                                       | -   | -   | -                            | 148,597,172                                   | 0.3%                                  |
| Community-Technical Colleges    | 135,343,412                                   | 136,108,339                                   | 655,641                                   | -  | -   | -   | -                            | 136,763,980                                   | 0.5%                                  |
| Charter Oak State College       | 1,649,825                                     | 1,678,732                                     | -   | -  | -   | -   | 40,000                       | 1,718,732                                     | 2.4%                                  |
| CT Distance Learning Consortium | 594,018                                       | 602,928                                       | -   | -  | -   | -   | -                            | 602,928                                       | 0.0%                                  |
| Department of Higher Education  | 48,022,989                                    | 47,959,081                                    | -   | (183,620)                                    | -   | -   | 3,000,000                    | 50,775,461                                    | 5.9%                                  |
| <b>Total</b>                    | <b>\$612,966,802</b>                          | <b>\$624,053,345</b>                          | <b>\$1,057,243</b>                        | <b>\$(96,386)</b>                            | <b>\$-</b>  | <b>\$-</b>  | <b>\$3,040,000</b>           | <b>\$628,054,202</b>                          | <b>0.6%</b>                           |
| <b>Additional Staffing</b>      |   |   |   | <b>2</b>                                     |   |   | <b>1</b>                     | <b>3</b>                                      |                                       |

|                                 | <u>FY 2006<br/>Estimated<br/>Expenditures</u> | <u>FY 2007<br/>Original<br/>Appropriation</u> | <u>FY 2007<br/>Salary<br/>Adjustments</u> | <u>FY 2007<br/>Technical<br/>Adjustments</u> | <u>FY 2007<br/>Current Services<br/>Adjustments</u> | <u>FY 2007<br/>Surplus Funded<br/>Adjustments</u> | <u>FY 2007<br/>Expansion</u> | <u>FY 2007<br/>Appropriations<br/>Recommended</u> | <u>Percent<br/>Change<br/>Approp.</u> |
|---------------------------------|---|---|---|--|---|---|------------------------------|---|---------------------------------------|
| University of Connecticut       | \$205,799,877                                 | \$212,977,207                                 | \$ 21,477                                 | \$ -   | \$ 1,900,000  | \$ 350,000  | \$ 50,000                    | \$215,298,684                                     | 1.1%                                  |
| UConn Health Center             | 76,161,057                                    | 76,500,920                                    | 96,325                                    | -  | 950,000   | -   | 467,000                      | 78,014,245  | 2.0%                                  |
| Connecticut State University    | 145,395,624                                   | 148,226,138                                   | 283,800                                   | 87,234                                       | 1,330,000   | -   | 115,000                      | 150,042,172                                       | 1.2%                                  |
| Community-Technical Colleges    | 135,343,412                                   | 136,108,339                                   | 655,641                                   | -  | 820,000   | 2,000,000   | 1,160,000                    | 140,743,980                                       | 3.4%                                  |
| Charter Oak State College       | 1,649,825                                     | 1,678,732                                     | -   | -  | -   | 212,000   | 40,000                       | 1,930,732   | 15.0%                                 |
| CT Distance Learning Consortium | 594,018                                       | 602,928                                       | -   | -  | -   | -   | -                            | 602,928   | 0.0%                                  |
| Department of Higher Education  | 48,022,989                                    | 47,959,081                                    | -   | (183,620)                                    | -   | 6,700,000   | 3,365,000                    | 57,840,461  | 20.6%                                 |
| <b>Total</b>                    | <b>\$612,966,802</b>                          | <b>\$624,053,345</b>                          | <b>\$1,057,243</b>                        | <b>\$(96,386)</b>                            | <b>\$5,000,000</b>                                  | <b>\$9,262,000</b>                                | <b>\$5,197,000</b>           | <b>\$644,473,202</b>                              | <b>3.3%</b>                           |
| <b>Additional Staffing</b>      |   |   |   | <b>2</b>                                     |   |   | <b>16</b>                    | <b>18</b>   |                                       |

# DEPARTMENT OF HIGHER EDUCATION

## Major Object Summary General Fund

|                                   | <u>FY 2005<br/>Actual</u> | <u>FY 2006<br/>Estimated<br/>Expenditures</u> | <u>FY 2007<br/>Final<br/>Budget</u> | <u>FY 2007<br/>Governor<br/>Recommended</u> | <u>FY 2007<br/>Appropriations<br/>Recommended</u> |
|-----------------------------------|---------------------------|---|-------------------------------------|---|---|
| Personal Services                 | \$2,219,266               | <b>\$2,437,544</b>                            | \$2,434,368                         | \$2,434,368                                 | <b>\$2,434,368</b>                                |
| Other Expenses                    | 149,110                   | <b>216,656</b>                                | 172,569                             | 172,569                                     | <b>322,569</b>                                    |
| Other Current Expenses            |                           |   |                                     |   |   |
| Minority Advancement              | 2,484,820                 | <b>2,267,021</b>                              | 2,267,021                           | 2,267,021                                   | <b>2,267,021</b>                                  |
| Alternate Route to Cert.          | 77,033                    | <b>77,033</b>                                 | 77,033                              | 77,033                                      | <b>302,033</b>                                    |
| National Service Act              | 298,347                   | <b>345,647</b>                                | 345,647                             | 345,647                                     | <b>345,647</b>                                    |
| International Initiatives         | 69,999                    | <b>70,000</b>                                 | 70,000                              | 70,000                                      | <b>120,000</b>                                    |
| Minority Teacher Incentive        | 444,285                   | <b>462,748</b>                                | 481,374                             | 481,374                                     | <b>481,374</b>                                    |
| 2 Higher Ed. Matching Grant       | 25,300,000                | <b>2,000,000</b>                              | 2,000,000                           | 2,000,000                                   | <b>8,700,000</b>                                  |
| Education and Health Initiatives  | 350,000                   | <b>550,000</b>                                | 550,000                             | 550,000                                     | <b>550,000</b>                                    |
| WCSU Greek Chair                  |                           | <b>500,000</b>                                |                                     |   |   |
| Loan Forgiveness Program          |                           |   |                                     | 3,000,000                                   | <b>500,000</b>                                    |
| Information Technology Pilot      | 29,368                    |   |                                     |   |   |
| Subtotal, OCE                     | \$29,053,852              | <b>\$6,272,449</b>                            | \$5,791,075                         | \$8,791,075                                 | <b>\$13,266,075</b>                               |
| Equipment                         | 1,000                     | <b>1,000</b>                                  | 1,000                               | 1,000                                       | <b>1,000</b>                                      |
| Capitol Scholarship Grants        | 5,160,907                 | <b>6,838,510</b>                              | 6,751,557                           | 6,838,510                                   | <b>9,278,510</b>                                  |
| Awds to Children of Disabled Vets | 1,200                     | <b>4,000</b>                                  | 4,000                               | 4,000                                       | <b>4,000</b>                                      |
| CICSG                             | 15,519,517                | <b>15,519,517</b>                             | 16,071,199                          | 15,800,626                                  | <b>15,800,626</b>                                 |
| CAPCS                             | 16,520,920                | <b>16,520,920</b>                             | 16,520,920                          | 16,520,920                                  | <b>16,520,920</b>                                 |
| CT Aid to Charter Oak             | 35,320                    | <b>37,393</b>                                 | 37,393                              | 37,393                                      | <b>37,393</b>                                     |
| 3 NEBHE                           | 300,000                   | <b>175,000</b>                                | 175,000                             | 175,000                                     | <b>175,000</b>                                    |
| <b>GRAND TOTAL</b>                | <b>\$68,961,092</b>       | <b>\$48,022,989</b>                           | <b>\$47,959,081</b>                 | <b>\$50,775,461</b>                         | <b>\$57,840,461</b>                               |

**Department of Higher Education  
Summary of Financial Aid Growth**

| Fiscal Year         | Total Expenditure | Number of Recipients | Cummulative Change |         |            |
|---------------------|-------------------|----------------------|--------------------|---------|------------|
|                     |                   |                      | #                  | Expend. | Recipients |
| 1997                | \$ 20,409,462     | 12,667               |                    |         |            |
| 1998                | \$ 26,589,306     | 15,203               | 2,536              | 30.3%   | 20.0%      |
| 1999                | \$ 32,959,606     | 18,153               | 5,486              | 61.5%   | 43.3%      |
| 2000                | \$ 37,146,785     | 17,791               | 5,124              | 82.0%   | 40.5%      |
| 2001                | \$ 44,362,479     | 21,512               | 8,845              | 117.4%  | 69.8%      |
| 2002                | \$ 44,579,931     | 21,468               | 8,801              | 118.4%  | 69.5%      |
| 2003                | \$ 38,838,740     | 19,930               | 7,263              | 90.3%   | 57.3%      |
| 2004                | \$ 36,406,737     | 20,189               | 7,522              | 78.4%   | 59.4%      |
| 2005                | \$ 37,239,198     | 19,910               | 7,243              | 82.5%   | 57.2%      |
| Estimated 2006      | \$ 38,878,947     | 21,042               | 8,375              | 90.5%   | 66.1%      |
| Budget 2007         | \$ 39,160,056     | 20,963               | 8,296              | 91.9%   | 65.5%      |
| Appropriations 2007 | \$ 41,600,056     | 22,590               | 9,923              | 103.8%  | 78.3%      |

**Summary of Capitol Scholarship Impact**

| Fiscal Year         | Total Expenditures* | Number of Recipients | Annual Change |        | Average Award |
|---------------------|---------------------|----------------------|---------------|--------|---------------|
|                     |                     |                      | Recipients    |        |               |
| 1997                | \$ 2,678,557        | 2,341                | (256)         | -9.9%  | \$ 1,144      |
| 1998                | \$ 3,380,649        | 3,093                | 752           | 32.1%  | \$ 1,093      |
| 1999                | \$ 5,429,986        | 4,259                | 1,166         | 37.7%  | \$ 1,275      |
| 2000                | \$ 5,548,918        | 4,247                | (12)          | -0.3%  | \$ 1,307      |
| 2001                | \$ 5,826,289        | 4,215                | (32)          | -0.8%  | \$ 1,383      |
| 2002                | \$ 6,193,344        | 4,385                | 170           | 4.0%   | \$ 1,412      |
| 2003                | \$ 5,360,530        | 3,709                | (676)         | -15.4% | \$ 1,445      |
| 2004                | \$ 5,299,517        | 3,629                | (80)          | -2.2%  | \$ 1,460      |
| 2005                | \$ 5,198,761        | 3,584                | (45)          | -1.2%  | \$ 1,451      |
| Estimated 2006      | \$ 6,838,510        | 4,716                | 1,132         | 31.6%  | \$ 1,450      |
| Budget 2007         | \$ 6,838,510        | 4,559                | (157)         | -3.3%  | \$ 1,500      |
| Appropriations 2007 | \$ 9,278,510        | 6,186                | 1,469         | 31.2%  | \$ 1,500      |

\* Actual/estimated expenditures include federal LEAP/SLEAP funding in addition to the appropriation

**Summary of Connecticut Aid to Public College Students Impact**

| Fiscal Year         | Total Expenditures | Number of Recipients* | Annual Change |       | Average Award | Percent Funded |
|---------------------|--------------------|-----------------------|---------------|-------|---------------|----------------|
|                     |                    |                       | Recipients    |       |               |                |
| 1997                | \$ 5,562,888       | 6,531                 | 15            | 0.2%  | \$ 852        | 26.0%          |
| 1998                | \$ 8,696,398       | 8,037                 | 1,506         | 23.1% | \$ 1,078      | 40.0%          |
| 1999                | \$ 11,270,089      | 9,585                 | 1,548         | 19.3% | \$ 1,176      | 51.0%          |
| 2000                | \$ 14,493,668      | 9,060                 | (525)         | -5.5% | \$ 1,600      | 65.0%          |
| 2001                | \$ 19,759,261      | 12,510                | 3,450         | 38.1% | \$ 1,572      | 81.3%          |
| 2002                | \$ 19,609,658      | 12,314                | (196)         | -1.6% | \$ 1,592      | 77.3%          |
| 2003                | \$ 17,539,728      | 11,821                | (493)         | -4.0% | \$ 1,475      | 60.5%          |
| 2004                | \$ 16,039,728      | 11,078                | (743)         | -6.3% | \$ 1,447      | 49.8%          |
| 2005                | \$ 16,520,920      | 12,043                | 965           | 8.7%  | \$ 1,372      | 46.2%          |
| Estimated 2006      | \$ 16,520,920      | 12,015                | (28)          | -0.2% | \$ 1,375      | 40.0%          |
| Budget 2007         | \$ 16,520,920      | 12,015                | -             | 0.0%  | \$ 1,375      | 35.7%          |
| Appropriations 2007 | \$ 16,520,920      | 12,015                | -             | 0.0%  | \$ 1,375      | 35.7%          |

**Summary of Connecticut Independent College Student Grant Impact**

| Fiscal Year         | Total Expenditures | Number of Recipients | Annual Change |       | Average Award | Percent Funded |
|---------------------|--------------------|----------------------|---------------|-------|---------------|----------------|
|                     |                    |                      | Recipients    |       |               |                |
| 1997                | \$ 12,168,017      | 3,795                | 81            | 2.2%  | \$ 3,206      | 74.0%          |
| 1998                | \$ 14,512,259      | 4,073                | 278           | 7.3%  | \$ 3,563      | 84.0%          |
| 1999                | \$ 16,259,531      | 4,309                | 236           | 5.8%  | \$ 3,773      | 89.0%          |
| 2000                | \$ 17,104,199      | 4,484                | 175           | 4.1%  | \$ 3,814      | 93.5%          |
| 2001                | \$ 18,776,929      | 4,787                | 303           | 6.8%  | \$ 3,964      | 99.9%          |
| 2002                | \$ 18,776,929      | 4,769                | (17)          | -0.4% | \$ 3,956      | 97.5%          |
| 2003                | \$ 15,938,482      | 4,400                | (369)         | -7.7% | \$ 3,622      | 86.3%          |
| 2004                | \$ 15,067,492      | 4,517                | 117           | 2.7%  | \$ 3,344      | 53.9%          |
| 2005                | \$ 15,519,517      | 4,283                | (234)         | -5.2% | \$ 3,634      | 57.9%          |
| Estimated 2006      | \$ 15,519,517      | 4,311                | 28            | 0.7%  | \$ 3,600      | 59.5%          |
| Budget 2007         | \$ 15,800,626      | 4,389                | 106           | 1.8%  | \$ 3,600      | 60.7%          |
| Appropriations 2007 | \$ 15,800,626      | 4,389                | 78            | 1.8%  | \$ 3,600      | 60.7%          |