

Agenda
Board of Governors for Higher Education
Department of Higher Education
Hartford, CT
May 19, 2010
1:30 p.m.

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Call to Order

Approval of the April 28, 2010 Board Meeting Minutes

Public Participation

Chairman's Report

Commissioner's Report

Commissioner's Consent Calendar

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Academic Affairs Action

- New Degree Programs

Licensure and Accreditation

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Joint Meeting with the Standing Advisory Committee

1. Presentation on Remediation

Executive Session

1. Personnel Matters

Adjournment

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Constituent Units: University of Connecticut
University of Connecticut Health Center
Connecticut State University
Community-Technical Colleges
Board for State Academic Awards

Item: Constituent Unit Operating Fund Reports for the Nine-Month
Period Ending March 31, 2010

Executive Summary

The constituent units of higher education submit quarterly reports on operating expenditures to the Board of Governors for Higher Education. The Board is required to forward the quarterly review to the Office of Policy and Management and the Higher Education and Appropriations Committees of the General Assembly within 60 days of the close of each quarter. The constituent unit reports are attached.

The University of Connecticut recorded 85.0 percent of budgeted revenue through the third quarter of the fiscal year and expended 73.9 percent of budgeted expenditures. The 2010 budget projects a \$2.0 million loss resulting from a \$1.0 million reserve repayment of the 2001 Towers Dining Center/Student Union drawdown off set by a \$3.0 million transfer from the University operating reserves to the State General Fund. The University reported an unrestricted operating fund balance of \$48.8 million and a research fund balance of \$20.2 million as of June 30, 2009. As of June 30, 2010, an unrestricted operating fund balance of \$46.8 million is projected along with a research fund balance of \$20.2 million. This fund balance projection does not include the impact of the deficit mitigation plan passed in April 2010.

The University of Connecticut Health Center recorded 73.0 percent of budgeted revenue through the second quarter and expended 72.1 percent of budgeted expenditures. Through nine months, the Health Center is reporting a gain of \$6.3 million that is largely attributable to delays in refilling positions opened through the retirement incentive program. As of June 30, 2009, the Health Center reported an unrestricted operating fund balance of \$44.9 million and projected a 2010 balance of \$36.9 million.

The Connecticut State University System recorded 90.5 percent of budgeted revenue in the third quarter of the fiscal year and expended 75.2 percent of budgeted expenditures. The nine month revenue result is largely attributable to the receipt of 100 percent of the full year tuition budget. The 2010 budget includes an essentially flat state appropriation but there is a 3.2 percent increase in enrollment compared to budget. The System reports an estimated unrestricted operating fund balance of \$73.5 million as of June 30, 2009, and a projected the fund balance for 2010 of \$69.8 million as of June 30, 2010.

The Community-Technical College System recorded 93.7 percent of budgeted revenue in the third quarter of the fiscal year and expended 82.0 percent of budgeted expenditures. The 2010 budget yields a \$3.1 million loss resulting mainly from the use of \$1.7 million of reserves for unfunded energy costs and a \$1.0 million transfer to the State General Fund. The community colleges reported an unrestricted operating fund balance of \$24.2 million as of June 30, 2009. As of June 30, 2010, an unrestricted operating fund balance of \$20.1 million is projected.

Charter Oak State College and the Connecticut Distance Learning Consortium are the two entities that make up the Board for State Academic Awards (BSAA). The BSAA recorded 79.6 percent of budgeted revenue in the third quarter of the fiscal year and expended 68.3 percent of budgeted expenditures. The 2010 budget yields a loss of \$253,926 resulting mainly from the use of reserves to fund equipment purchases at CTDLC. The BSAA reported an unrestricted operating fund balance of \$2.7 million as of June 30, 2009. As of June 30, 2010, an unrestricted operating fund balance of \$391,640 is projected.

Commissioner's Recommendation

It is recommended that the Board of Governors approve the operating fund quarterly reports for the nine-month period ending March 31, 2010, as attached by the University of Connecticut, University of Connecticut Health Center, Connecticut State University, Community-Technical College System and Board for State Academic Awards for submission to the Office of Policy and Management and the Appropriations and Education Committees.

05/19/10

University of Connecticut (Storrs & Regional Campuses)

Quarterly Overview of the Operating and Research Funds For the Nine Months Ended March 31, 2010

Summary

On November 5, 2009, the Board of Trustees approved a Spending Plan for Fiscal Year 2010 of \$991.3 million plus a \$3 million transfer to the State General Fund. This budget included \$992.3 million of revenue to cover \$994.3 million in expenses and transfers, yielding a \$2.0 million loss. The loss is a net result of the \$1.0 million reserve repayment for the November 2001 drawdown of \$11.5 million for the Towers Dining Center and Student Union, and a \$3.0 million transfer from University operating reserves to the State's General Fund.

Financial results for the first nine months of Fiscal Year 2010 reflected a number of different factors when compared to budget. Actual revenue totaled \$843.4 million or 85.0% of the budget. At the close of March, the University expenditures and transfers totaled \$735.3 million or 73.9% of the budget.

The net gain for the Operating Fund shown in this nine month report is not indicative of expected annual results. Typically for the first nine months, a greater percentage of the Operating Fund revenue budget is received compared to the expenditure pattern. The later than normal approval (November 5, 2009) of the Spending Plan by the Board of Trustees has resulted in the Other Expense and Equipment expense categories being under budget for the nine month period. Also contributing to the net gain is the timing of the refill process for Retirement Incentive Plan (RIP) vacancies.

A more detailed review of the first nine months of Fiscal Year 2010 operations is presented below.

Revenues - Operating Fund

Total **Operating Fund** revenue collections for the first nine months of Fiscal Year 2010 were \$773.2 million which represented 85.3% of the annual budget. At this point last fiscal year, Operating Fund revenue collections represented 86.4% of the annual budget. A major source of revenue, **State Support**, consisted of a \$173.6 million appropriation and a fringe benefit allotment of \$71.8 million. The State Support amount is consistent with the University's Biweekly Schedule of State Appropriation Transfers. State Support represented 31.7% of total Operating Fund receipts for the first nine months.

Tuition collections were the second largest source of revenue, totaling \$226.1 million, which represented 29.2% of total Operating Fund receipts. Tuition receipts were 100.3% of the annual amount budgeted (\$225.5 million). Tuition revenue collections reflect a 6.0% rate increase coupled with a 0.7% increase in the number of undergraduate degree-seeking students who account for approximately 87.1% of budgeted tuition revenues. Tuition revenue is forecasted to be slightly ahead of budget at year end.

Fee revenue is comprised of course fees from summer school, part-time, and non-degree students as well as self-supporting programs (off campus MBA, EMBA, etc.). Also included in this category is the General University Fee, which supports several Auxiliary Enterprise programs and various other fees such as the Infrastructure Maintenance Fee, Application Fees and Late Payment Fees. The first nine months Fee collections were \$78.1 million or 89.2% of the amount budgeted.

University of Connecticut (Storrs & Regional Campuses)

Auxiliary Enterprise Revenue for the first nine months of Fiscal Year 2010 was \$147.1 million which represented 91.1% of the annual budgeted amount and was greater than projected for the period due to timing of Athletics receipts. Auxiliary revenue consisted primarily of Room and Board Fees which reflect rate increases of 6.0% and 7.0% respectively (\$121.7 million) and Athletic Department receipts (\$22.1 million).

Gifts, Grants and Contracts revenue consists of restricted revenues from a granting agency or private donor and gifts transferred from the UConn Foundation. For the first nine months of Fiscal Year 2010, Gifts, Grants and Contracts revenue of \$56.8 million, which included \$6.8 million from the UConn Foundation, was 72.6% of the annual budget and is slightly greater than expected at this point in the year.

Investment Income for the first three quarters of Fiscal Year 2010 was \$0.9 million. Interest rates for March 2010 are at 0.24% compared to 0.95% in March 2009. Investment income for the year is projected to be close to budget. Actual results will depend on interest rates and the University's cash balance through the last quarter of the fiscal year.

Sales and Services of Educational Activities and Other Sources (primarily parking, transit fee, and rental income) revenue totaled \$18.8 million and were below budget. This is primarily due to the closing of self-supporting programs on campus.

Revenues - Research Fund

With respect to the **Research Fund**, the granting agency or donor restricts most of the revenues. For the first nine months, Research Fund revenues were \$70.2 million and represented 81.8% of the amount budgeted. In Fiscal Year 2009, Research Fund revenues reported in the first nine months totaled \$57.5 million and represented 78.1% of the amount budgeted.

Expenditures - Operating Fund

Total Operating Fund expenditures (excluding transfers) for the first nine months of Fiscal Year 2010 were \$641.0 million or 75.2% of the annual budgeted amount. The spending pattern of the first three quarters of Fiscal Year 2009 reflected expenditures of 78.1% of the annual budget. Individual categories of expenditures as a percentage of the annual budget were as follows:

Personal Services	72.5%
Fringe Benefits	74.1%
Other Expenses	70.5%
Equipment	75.1%
Student Aid	96.1%

Personal Services/Fringe Benefits represented 72.9% of the annual budgeted amount of \$535.6 million. The official full-time faculty count (based on IPEDS federal reporting standards) is 38 less than the prior year and reflects the impact of the RIP offered by the state at the end of Fiscal Year 2009. Due to the vacancies created by the RIP and the amount of time it takes to refill positions, especially faculty, we anticipate this category to end the year under budget.

Other Expenses (including energy costs) were \$136.1 million for the first nine months and represented 70.5% of the amount budgeted. Energy expenditures are projected to be slightly

University of Connecticut (Storrs & Regional Campuses)

below budget for the year. Other Expenses (excluding energy costs) were less than budget due to the delayed approval of the annual spending plan which delayed approval of various expenditures included in this category. Other Expenses are expected to be under budget at year end.

Equipment expenditures of \$16.0 million were 75.1% of the amount budgeted. It is anticipated that annual equipment expenditures will be on budget for the year.

Student Aid funds are predominantly expended in the first and third quarter of the fiscal year. For the first nine months, Student Aid expenditures were \$98.6 million and represented 96.1% of the amount budgeted. This category is slightly ahead of the projection due to increased availability of restricted funds. This expenditure category is expected to be over budget due to the additional restricted funds and additional University support for Juniors and Seniors provided by the President.

Expenditures - Operating Fund Transfers

Transfers for the first three quarters were slightly under budget at \$29.7 million or 52.9% of the amount budgeted and reflected transfers for bond and installment loan payments, payments for the capital lease for the cogeneration plant, and funding for construction and information technology projects. Transfers do not always follow a predictable pattern so quarterly results or comparisons are not necessarily indicative of annual results. On April 14, 2010, AN ACT CONCERNING DEFICIT MITIGATION FOR THE FISCAL YEAR ENDING JUNE 30, 2010 was passed by the Governor and the General Assembly. This plan requires the University to transfer an additional \$5 million of operating reserves to the State's General Fund. This category is expected to be over budget at year end.

Expenditures - Research Fund

Finally, **Research Fund** expenditures and transfers totaled \$64.5 million and represented 75.1% of the budgeted amount. In Fiscal Year 2009, Research Fund expenditures and transfers reported in the first nine months totaled \$60.4 million and represented 82.0% of the budgeted amount. Due to the variability of research expenditures, quarterly comparisons are not necessarily indicative of annual results.

Enrollment

Total University enrollment for fall 2009 (excluding the Health Center) is up 0.4% from fall 2008 and undergraduate enrollment (degree and non-degree) is up 0.6%. The budget is based on these enrollment levels.

Fund Balance

The University's budget, which was approved on November 5, 2009 by the Board of Trustees, was projected to have a net loss of \$2.0 million for the fiscal year ended June 30, 2010, which would result in a Current Funds Unrestricted Fund Balance of \$67.0 million (Operating Fund-\$46.8 million; Research Fund-\$20.2 million). The fund balance represents 7.8% of the current year's unrestricted expenditure budget. Also, in accordance with current University procedures, centrally funded unrestricted fund balances are carried forward in departmental accounts and are available for expenditure in the current and future fiscal years. The Fiscal Year 2010 budget assumes a consistent level of departmental fund balances at June 30, 2010. Any variance from this assumption will affect the projected fund balance level at year-end.

UNIVERSITY OF CONNECTICUT
Statement of Operating Budget Revenues and Expenses
For the Months Ending
3/31/2010

	Operating Budget					Actual					
	Total	Research Fund	Operating Fund			Total	Research Fund	Operating Fund			
			E&G	Aux Ent	Subtotal			E&G	Aux Ent	Subtotal	
Revenues											
State Appropriations (1)	\$ 233,011,263	\$ -	\$233,011,263	\$ -	\$ 233,011,263	\$ 173,633,136	\$ -	\$173,633,136	\$ -	\$ 173,633,136	
State Allotment Fringe Benefits	<u>92,272,460</u>	-	<u>92,272,460</u>	-	<u>92,272,460</u>	<u>71,752,588</u>	-	<u>71,752,588</u>	-	<u>71,752,588</u>	
Total State Support	325,283,723	-	325,283,723	-	325,283,723	245,385,724	-	245,385,724	-	245,385,724	
Tuition	225,474,935	-	219,035,615	6,439,320	225,474,935	226,145,983	-	219,858,050	6,287,933	226,145,983	
Fees	87,486,665	-	59,223,327	28,263,338	87,486,665	78,049,976	-	49,786,638	28,263,338	78,049,976	
Grants & Contracts	145,626,886	85,584,000	59,364,231	678,655	60,042,886	120,117,803	70,149,982	49,123,717	844,104	49,967,821	
Foundation	18,150,837	10,000	9,644,337	8,496,500	18,140,837	6,831,756	22,410	5,409,249	1,400,097	6,809,346	
Investment Income	1,145,249	-	1,095,249	50,000	1,145,249	945,995	-	907,267	38,728	945,995	
Sales and Services of Ed Activities	17,119,450	84,000	17,035,450	-	17,035,450	10,208,414	21,382	10,187,032	-	10,187,032	
Auxiliary Enterprise	161,462,091	-	-	161,462,091	161,462,091	147,064,475	-	-	147,064,475	147,064,475	
All Other Sources	10,534,081	126,000	10,408,081	-	10,408,081	8,640,202	18,798	8,598,526	22,878	8,621,404	
Total Revenues	\$ 992,283,917	\$ 85,804,000	\$ 701,090,013	\$ 205,389,904	\$ 906,479,917	\$ 843,390,327	\$ 70,212,572	\$ 589,256,203	\$ 183,921,553	\$ 773,177,755	
Expenses											
Personal Services	\$ 438,182,567	\$ 41,155,000	\$ 343,762,420	\$ 53,265,147	\$ 397,027,567	\$ 317,869,464	\$ 30,218,667	\$ 247,833,089	\$ 39,817,708	\$ 287,650,797	
Fringe Benefits	148,174,172	9,547,000	118,554,742	20,072,430	138,627,172	110,329,551	7,587,293	88,428,404	14,313,854	102,742,258	
Other Expenses	218,368,663	25,514,000	110,380,635	82,474,028	192,854,663	155,375,693	19,323,451	74,504,365	61,547,877	136,052,242	
Equipment	26,223,840	4,938,000	19,658,965	1,626,875	21,285,840	18,531,272	2,555,312	14,963,621	1,012,339	15,975,960	
Fixed Charges/Student Aid	103,748,242	1,150,000	92,211,737	10,386,505	102,598,242	99,763,060	1,157,719	88,451,783	10,153,558	98,605,341	
Total Expenses	\$ 934,697,484	\$ 82,304,000	\$ 684,568,499	\$ 167,824,985	\$ 852,393,484	\$ 701,869,040	\$ 60,842,442	\$ 514,181,262	\$ 126,845,336	\$ 641,026,598	
Transfers											
Debt Service	20,724,427	\$ -	\$ 7,947,508	\$ 12,776,919	\$ 20,724,427	\$ 12,370,076	\$ -	\$ 5,579,248	\$ 6,790,828	\$ 12,370,076	
Non Mandatory	35,832,006	\$ 3,500,000	\$ 7,544,006	\$ 24,788,000	\$ 32,332,006	\$ 18,010,211	\$ 3,635,532	\$ 2,470,308	\$ 11,904,371	\$ 14,374,679	
Reserves to State General Fund	3,000,000	\$ -	\$ 3,000,000	\$ -	\$ 3,000,000	\$ 3,000,000	\$ -	\$ 3,000,000	\$ -	\$ 3,000,000	
Total Expense/Transfer	\$ 994,253,917	\$ 85,804,000	\$ 703,060,013	\$ 205,389,904	\$ 908,449,917	\$ 735,249,326	\$ 64,477,974	\$ 525,230,817	\$ 145,540,535	\$ 670,771,352	
Net Gain (Loss)	\$ (1,970,000)	\$ -	\$ (1,970,000)	\$ -	\$ (1,970,000)	\$ 108,141,001	\$ 5,734,598	\$ 64,025,385	\$ 38,381,018	\$ 102,406,403	

(1) State Appropriation - HB 6802 \$235,292,115
Reduction - 9/22/09 (2,280,852)
Adjusted Appropriation \$233,011,263



Fiscal Year 2010
Results of Operations
As of March 31, 2010



Consolidated Financial Reports Financial Update & Highlights

TO: Members, Finance Subcommittee
FROM: John M. Biancamano, Chief Financial Officer
DATE: April 29, 2010
SUBJECT: **Unaudited FY 2010 Financial Results for the 9 month period ending March 31, 2010.**

Introduction:

The following provides highlights for the results of operations for the nine months ending March 31, 2010:

The gain for the Fiscal Year to Date is \$7.2 million as compared to a breakeven budget. The month of March experienced a gain of \$1.3 million as compared to a breakeven budget.

Education, Research & Institutional Support

The result of operations for Education, Research & Institutional Support is favorable to the budget by \$6.3 million year-to-date and favorable by \$204,000 for the month of March. This favorable variance is primarily attributed to favorable personnel related costs in the School of Medicine, School of Dental Medicine, Research, and Institutional Support. The favorable variance is driven by the delay in refilling positions associated with the retirement incentive program as well as the use of rehired retirees, whose salaries are reduced to 75% of their prior pay rate in accordance with UCHC policy.

Year to date significant highlights include:

- The School of Medicine total expenses were favorable to budget by \$5.0 million (4.9%). Personal Services and Fringe Benefits account for \$4.0 million of the variance.
- The School of Dental Medicine total expenses were favorable to budget by \$1.6 million (8.0%) with total revenue unfavorable to budget by \$700,000 (8.0%) These variances are attributable in part to lower than anticipated Dental Implant revenue and expense and changing clinical contracts through the Dental School. The School of Dental Medicine, exclusive of the UD and MSI clinics shows a favorable variance in salaries of \$964,000 (9.5%).
- Research results of operations are favorable to budget by \$23,000 or (0.3%). Federal Research revenues are \$1.5 million unfavorable to budget and Non Federal research revenues are unfavorable to budget by \$738,000. Total Research revenues are unfavorable \$2.4 million however total Research expenses are favorable to budget \$2.4 million due in part to favorable variances of \$1.4 million in Personal Services and Fringe Benefits and \$993,000 in Utilities.
- Institutional support results of operations were favorable to budget by \$2.0 million (4.0%), primarily due to Utilities, Outside and Other Purchased Services, and Depreciation being below budget.

Clinical

The clinical operations (JDH and UMG) had a loss for the month of \$1 million compared to a budget loss of \$1.3 million. For the year to date, the combined loss was \$11.9 million compared to a budget loss of \$12.5 million. Summary analysis of revenues and expenses are in the following pages.

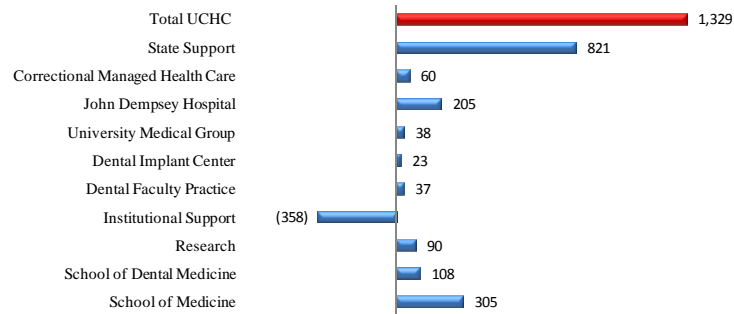


University of Connecticut Health Center

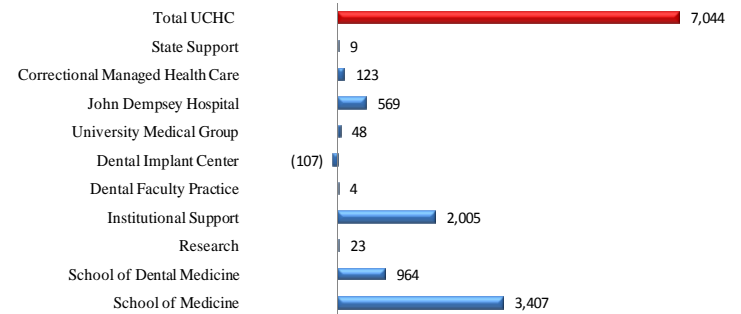
Key Financial Results For the period ending March 31, 2010 (in thousands)

Line #	Category	Current Month					Year - to - Date						
		Actual	Budget	Variance	Percent	Prior Year	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
1	Total UCHC Excess/ Deficiency	\$1,329	\$1	\$1,328	132800.0%	(\$1,279)	\$7,211	\$167	\$7,044	4218.0%	(\$18,474)	\$25,685	139.0%
2	Education, Research & Institutional Support-Excess/(Deficiency)	(\$12,263)	(\$12,467)	\$204	1.6%	(\$12,739)	(\$105,369)	(\$111,664)	\$6,295	5.6%	(\$103,620)	(\$1,749)	-1.7%
3	John Dempsey Hospital - Excess/(Deficiency)	(\$532)	(\$737)	\$205	27.8%	(\$738)	(\$5,518)	(\$6,087)	\$569	9.3%	(\$8,483)	\$2,965	35.0%
4	UMG - Excess/(Deficiency)	(\$509)	(\$547)	\$38	6.9%	(\$1,681)	(\$6,395)	(\$6,443)	\$48	0.7%	(\$12,239)	\$5,844	47.7%
5	Total Clinical (JDH/UMG)	(\$1,041)	(\$1,284)	\$243	18.9%	(\$2,419)	(\$11,913)	(\$12,530)	\$617	4.9%	(\$20,722)	\$8,809	42.5%
6	CMHC - Excess/(Deficiency)	\$60	\$0	\$60		\$3	\$123	\$0	\$123		\$438	(\$315)	-71.9%
7	State Appropriation-Block Grant	\$9,892	\$9,892	\$0	0.0%	\$9,094	\$90,231	\$90,231	\$0	0.0%	\$79,317	\$10,914	13.8%
8	Fringe Benefits & Other Adjustments	\$4,680	\$3,859	\$821	21.3%	\$4,782	\$34,139	\$34,130	\$9	0.0%	\$26,113	\$8,026	30.7%
9	Total State Support	\$14,572	\$13,751	\$821	6.0%	\$13,876	\$124,370	\$124,361	\$9	0.0%	\$105,430	\$18,940	18.0%
10	Total Revenues (000's)	\$50,589	\$51,399	(\$810)	-1.6%	\$51,981	\$434,576	\$451,277	(\$16,701)	-3.7%	\$436,496	(\$1,920)	-0.4%
11	Total Expenses (000's)	\$63,832	\$65,149	(\$1,317)	-2.0%	\$65,948	\$551,735	\$575,471	(\$23,736)	-4.1%	\$561,833	(\$10,098)	-1.8%
12	Research Awards	\$14,717	\$9,700	\$5,017	51.7%	\$6,633	\$81,412	\$69,840	\$11,572	16.6%	\$62,260	\$19,152	30.8%
13	Research Revenue Recognition in Financial Statements	\$7,749	\$7,463	\$286	3.8%	\$8,044	\$64,403	\$66,707	(\$2,304)	-3.5%	\$65,139	(\$736)	-1.1%

Budget Variance by Program - March
(in 000's)



Budget Variance by Program - Year To Date
(in 000's)





University of Connecticut Health Center

Consolidated Statement of Revenues and Expenses (with Eliminations)

	Consolidated UConn Health Center YTD March 2010				Consolidated UConn Health Center YTD March 2009		
	Actual	Budget	Variance	Percent Variance	Actual	Variance	Percent Variance
Revenues:							
Tuition	\$ 7,980,255	\$ 8,576,995	\$ (596,740)	-7.0%	\$ 7,523,121	\$ 457,134	6.1%
Fees	4,554,158	4,641,545	(87,387)	-1.9%	4,254,984	299,174	7.0%
Federal Research Grants and Contracts	49,991,654	51,535,278	(1,543,624)	-3.0%	49,601,009	390,645	0.8%
Non-Federal Research Grants and Contracts	14,410,892	15,171,506	(760,614)	-5.0%	16,863,894	(2,453,002)	-14.5%
Auxiliary Enterprises	9,522,986	11,306,208	(1,783,222)	-15.8%	10,768,669	(1,245,683)	-11.6%
Interns and Residents	32,636,245	32,608,440	27,805	0.1%	26,820,931	5,815,314	21.7%
Net Patient Care	242,513,453	247,254,696	(4,741,243)	-1.9%	236,360,954	6,152,499	2.6%
Correctional Managed Health Care	69,172,683	75,141,673	(5,968,990)	-7.9%	75,017,073	(5,844,390)	-7.8%
Endowment Income	2,126,908	3,235,912	(1,109,004)	-34.3%	3,741,825	(1,614,917)	-43.2%
Investment Income	206,842	776,142	(569,300)	-73.3%	987,761	(780,919)	-79.1%
Other Income	1,460,259	1,028,319	431,940	42.0%	1,982,417	(522,158)	-26.3%
Total Revenues	\$ 434,576,335	\$ 451,276,714	\$ (16,700,379)	-3.7%	\$ 433,922,638	\$ 653,697	0.2%
Expenses:							
Personal Services	\$ 222,300,435	\$ 230,395,480	\$ (8,095,045)	-3.5%	\$ 227,899,404	\$ (5,598,969)	-2.5%
State Supported Fringe Benefits	36,462,340	37,529,520	(1,067,180)	-2.8%	28,265,823	8,196,517	29.0%
Fringe Benefits	48,018,071	49,804,297	(1,786,226)	-3.6%	52,423,036	(4,404,965)	-8.4%
JDH Fringe Benefit Allotment	(10,134,244)	(10,134,244)	0	0.0%	(2,657,113)	(7,477,131)	281.4%
Medical Contractual Support	9,892,399	10,289,031	(396,632)	-3.9%	12,689,778	(2,797,379)	-22.0%
Medical/Dental House Staff	29,564,043	29,636,584	(72,541)	-0.2%	28,303,065	1,260,978	4.5%
Correctional Managed Healthcare	69,503,498	75,594,259	(6,090,761)	-8.1%	75,040,130	(5,536,632)	-7.4%
Outside Agency Per Diems	1,518,300	972,641	545,659	56.1%	917,545	600,755	65.5%
Drugs	13,237,660	15,191,024	(1,953,364)	-12.9%	15,774,796	(2,537,136)	-16.1%
Medical Supplies	36,454,873	35,317,063	1,137,810	3.2%	32,905,631	3,549,242	10.8%
Utilities	10,695,490	13,107,039	(2,411,549)	-18.4%	12,984,231	(2,288,741)	-17.6%
Outside & Other Purchased Services	33,071,448	31,726,817	1,344,631	4.2%	23,281,017	9,790,431	42.1%
Insurance	5,239,892	5,027,644	212,248	4.2%	4,616,712	623,180	13.5%
Repairs & Maintenance	7,118,917	8,958,361	(1,839,444)	-20.5%	8,353,528	(1,234,611)	-14.8%
Other Expenses	16,783,024	17,484,238	(701,214)	-4.0%	15,701,859	1,081,165	6.9%
Depreciation	22,008,800	24,571,251	(2,562,451)	-10.4%	21,327,689	681,111	3.2%
Total Expenses	\$ 551,734,946	\$ 575,471,005	\$ (23,736,059)	-4.1%	\$ 557,827,131	\$ (6,092,185)	-1.1%
Excess/(Deficiency) of Revenues over Expenses Prior to State Appropriations							
	\$ (117,158,611)	\$ (124,194,291)	\$ 7,035,680	-5.7%	\$ (123,904,493)	\$ 6,745,882	-5.4%
State Appropriation-Block Grant	\$ 90,231,243	\$ 90,231,245	\$ (2)	0.0%	\$ 79,316,852	\$ 10,914,391	13.8%
State Supported Fringe Benefits and Other Adjustments	34,138,746	34,129,730	9,016	0.0%	26,113,300	8,025,446	30.7%
Excess/(Deficiency)	\$ 7,211,378	\$ 166,684	\$ 7,044,694	4226.4%	\$ (18,474,341)	\$ 25,685,719	-139.0%
Total State Support	134,504,233	134,495,219	9,014	0.0%	108,087,265	26,416,968	24.4%
Percent of Total Revenues	23.64%	22.96%	0.01	2.9%	19.94%	3.7%	18.5%
Total State Support without Fringe Benefits	100,365,487	100,365,489	(2)	0.0%	81,973,965	18,391,522	22.4%



University of Connecticut Health Center

Consolidated Statement of Revenues and Expenses (with Eliminations)

	Consolidated UConn Health Center March 31, 2010				Consolidated UConn Health Center March 31, 2009		
	Actual	Budget	Variance	Percent Variance	Actual	Variance	Percent Variance
Revenues:							
Tuition	\$ 879,711	\$ 952,999	\$ (73,288)	-7.7%	\$ 812,987	\$ 66,724	8.2%
Fees	492,319	515,731	(23,412)	-4.5%	438,235	54,084	12.3%
Federal Research Grants and Contracts	6,258,720	5,949,196	309,524	5.2%	5,191,406	1,067,314	20.6%
Non-Federal Research Grants and Contracts	1,487,024	1,514,277	(27,253)	-1.8%	1,656,465	(169,441)	-10.2%
Auxiliary Enterprises	807,239	1,296,260	(489,021)	-37.7%	1,257,080	(449,841)	-35.8%
Interns and Residents	3,583,430	3,703,002	(119,572)	-3.2%	3,064,211	519,219	16.9%
Net Patient Care	29,397,995	28,405,910	992,085	3.5%	28,895,846	502,149	1.7%
Correctional Managed Health Care	7,501,429	8,501,441	(1,000,012)	-11.8%	8,349,377	(847,948)	-10.2%
Endowment Income	32,846	359,546	(326,700)	-90.9%	320,674	(287,828)	-89.8%
Investment Income	22,474	86,247	(63,773)	-73.9%	72,141	(49,667)	-68.8%
Other Income	125,586	114,475	11,111	9.7%	159,239	(33,653)	-21.1%
Total Revenues	\$ 50,588,773	\$ 51,399,084	\$ (810,311)	-1.6%	\$ 50,217,661	\$ 371,112	0.7%
Expenses:							
Personal Services	\$ 25,452,320	\$ 26,002,748	\$ (550,428)	-2.1%	\$ 26,114,116	\$ (661,796)	-2.5%
State Supported Fringe Benefits	4,212,807	4,285,033	(72,226)	-1.7%	3,345,542	867,265	25.9%
Fringe Benefits	5,657,320	5,598,873	58,447	1.0%	6,122,482	(465,162)	-7.6%
JDH Fringe Benefit Allotment	(1,146,574)	(1,146,575)	1	0.0%	(268,249)	(878,325)	327.4%
Medical Contractual Support	1,072,878	1,215,349	(142,471)	-11.7%	2,191,428	(1,118,550)	-51.0%
Medical/Dental House Staff	3,370,119	3,352,857	17,262	0.5%	3,109,760	260,359	8.4%
Correctional Managed Healthcare	7,492,096	8,552,480	(1,060,384)	-12.4%	8,396,323	(904,227)	-10.8%
Outside Agency Per Diems	254,043	185,009	69,034	37.3%	92,319	161,724	175.2%
Drugs	1,600,977	1,778,081	(177,104)	-10.0%	2,864,156	(1,263,179)	-44.1%
Medical Supplies	4,848,879	4,163,928	684,951	16.4%	4,182,243	666,636	15.9%
Utilities	1,247,772	1,230,178	17,594	1.4%	1,292,980	(45,208)	-3.5%
Outside & Other Purchased Services	3,705,448	3,485,103	220,345	6.3%	2,086,032	1,619,416	77.6%
Insurance	587,314	559,126	28,188	5.0%	518,517	68,797	13.3%
Repairs & Maintenance	1,317,676	1,013,003	304,673	30.1%	1,083,804	233,872	21.6%
Other Expenses	1,717,948	1,981,935	(263,987)	-13.3%	1,528,601	189,347	12.4%
Depreciation	2,441,255	2,892,348	(451,093)	-15.6%	2,712,064	(270,809)	-10.0%
Total Expenses	\$ 63,832,278	\$ 65,149,476	\$ (1,317,198)	-2.0%	\$ 65,372,118	\$ (1,539,840)	-2.4%
Excess/(Deficiency) of Revenues over Expenses Prior to State Appropriations							
	\$ (13,243,505)	\$ (13,750,392)	\$ 506,887	-3.7%	\$ (15,154,457)	\$ 1,910,952	-12.6%
State Appropriation-Block Grant	\$ 9,892,321	\$ 9,892,321	\$ -	0.0%	\$ 9,093,540	\$ 798,781	8.8%
State Supported Fringe Benefits and Other Adjustments	4,679,786	3,859,358	820,428	21.3%	4,782,186	(102,400)	-2.1%
Excess/(Deficiency)	\$ 1,328,602	\$ 1,287	\$ 1,327,315	103132.5%	\$ (1,278,731)	\$ 2,607,333	-203.9%
Total State Support	15,718,681	14,898,254	820,427	5.5%	14,143,975	1,574,706	11.1%
Percent of Total Revenues	23.71%	22.47%	0.01	5.5%	21.98%	1.7%	7.9%
Total State Support without Fringe Benefits	11,038,895	11,038,896	(1)	0.0%	9,361,789	1,677,106	17.9%



University of Connecticut Health Center

JOHN DEMPSEY HOSPITAL
OPERATING STATEMENT
March 31, 2010

	Current Month					Year to Date (7/1/09 - 3/31/2010)				
	Actual '09	Budget '09	Variance	% Variance	Actual '08	Actual '09	Budget '09	Variance	YTD % Variance	YTD Actual '08
<u>REVENUES:</u>										
Net Patient Revenue	\$ 22,545,853	\$ 21,473,967	\$ 1,071,886	5.0%	\$ 22,814,513	\$ 187,006,900	\$ 187,272,720	\$ (265,820)	-0.1%	\$182,823,115
Investment Income	965	20,861	(19,896)	-95.4%	18,347	39,510	187,748	(148,238)	-79.0%	233,339
Other Operating Revenue	(61,709)	219,240	(280,949)	-128.1%	246,633	2,439,965	3,024,251	(584,286)	-19.3%	4,063,113
Total Revenue	22,485,109	21,714,068	771,041	3.6%	23,079,493	189,486,375	190,484,719	(998,344)	-0.5%	187,119,567
<u>EXPENSES:</u>										
Salaries and Wages	7,658,147	7,352,584	305,563	4.2%	8,206,438	65,487,365	65,214,205	273,160	0.4%	72,387,230
Fringe Benefits	3,340,632	3,211,955	128,677	4.0%	3,487,579	28,467,224	28,331,176	136,048	0.5%	30,152,696
Fringe Benefit Allotment	(1,146,574)	(1,146,575)	1	0.0%	(268,249)	(10,134,244)	(10,134,244)	-	-	(2,657,113)
Medical Contractual Support	192,395	292,737	(100,342)	-34.3%	373,824	3,004,419	3,129,723	(125,304)	-4.0%	4,652,710
Internal Contractual Support	1,345,370	1,462,816	(117,446)	-8.0%	-	12,916,720	13,136,467	(219,747)	-1.7%	-
Medical/Dental House Staff	1,314,362	1,242,113	72,249	5.8%	1,000,000	11,322,890	10,935,996	386,894	3.5%	9,141,588
Outside Agency Per Diems	226,244	168,191	58,053	34.5%	92,263	1,376,299	825,614	550,685	66.7%	807,171
Drugs	1,381,911	1,579,483	(197,572)	-12.5%	2,684,692	11,621,768	13,480,254	(1,858,486)	-13.8%	14,214,267
Medical Supplies	3,660,145	3,223,715	436,430	13.5%	3,180,809	28,417,793	26,973,042	1,444,751	5.4%	25,240,936
Utilities	216,079	235,688	(19,609)	-8.3%	278,747	2,033,102	2,869,565	(836,463)	-29.1%	2,719,941
Outside & Other Purchased Services	2,567,180	2,472,278	94,902	3.8%	2,717,697	22,231,959	22,234,789	(2,830)	0.0%	22,360,804
Insurance	280,704	285,442	(4,738)	-1.7%	249,078	2,526,196	2,568,981	(42,785)	-1.7%	2,213,533
Repairs & Maintenance	940,394	520,289	420,105	80.7%	459,680	4,584,003	4,498,152	85,851	1.9%	3,693,731
Other Expenses	252,478	329,717	(77,239)	-23.4%	338,000	2,382,006	2,773,034	(391,028)	-14.1%	2,545,984
Depreciation Expense	787,253	1,219,723	(432,470)	-35.5%	1,016,667	8,766,666	9,735,126	(968,460)	-9.9%	8,128,993
Total Operating Expenses	23,016,720	22,450,156	566,564	2.5%	23,817,225	195,004,166	196,571,880	(1,567,714)	-0.8%	195,602,471
Excess/(Deficiency) of Revenues Over Expenses	\$ (531,611)	\$ (736,088)	\$ 204,477	27.8%	\$ (737,732)	\$ (5,517,791)	\$ (6,087,161)	\$ 569,370	9.4%	\$ (8,482,904)



John Dempsey Hospital

Results of Operations:

Year-to-date the deficit is \$5.5 million compared to a budgeted deficit of \$6.1 million, for a favorable variance of about \$569,000. The deficit for the same period last year was \$8.5 million. The month of March shows a loss of \$532,000 compared to a budgeted loss of \$736,000 for a favorable variance of \$204,000. Other significant highlights include:

	<u>Category</u>												
	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Percent</u>	<u>Prior Year</u>	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>	<u>Percent</u>	<u>Prior Year</u>	<u>Variance</u>	<u>Percent</u>	
John Dempsey Hospital (in thousands)													
14	JDH Excess of Revenues over Expenses/ (Deficiency)	(\$532)	(\$737)	\$205	27.8%	(\$738)	(\$5,518)	(\$6,087)	\$569	9.3%	(\$8,483)	\$2,965	35.0%
15	JDH Operating Margin	-2.4%	-3.4%	1.0%	30.4%	-3.2%	-2.9%	-3.2%	0.3%	8.7%	-4.5%	1.6%	34.9%
16	Inpatient Discharges	770	861	(91)	-10.6%	859	7,111	7,266	(155)	-2.1%	7,310	(199)	-2.7%
17	Outpatient Equivalents	827	766	61	8.0%	808	6,551	6,570	(19)	-0.3%	8,002	(1,451)	-18.1%
18	Net Revenue per Adjusted Discharge	\$14,115	\$13,201	\$914	6.9%	\$13,836	\$13,688	\$13,535	\$153	1.1%	\$12,150	\$1,538	12.7%
19	Cost per Adjusted Discharge	\$14,410	\$13,801	\$609	4.4%	\$14,278	\$14,197	\$14,131	\$66	0.5%	\$12,704	\$1,493	11.8%
20	Days Revenue in Accounts Receivable	46	48	(2)	-4.2%	48	46	48	(2)	-4.2%	48	(2)	-4.2%
21	Case Mix Index	1.4388	1.4986	(0.0598)	-4.0%	1.5661	1.4807	1.4986	(0.0179)	-1.2%	1.4990	(0.0183)	-1.2%
22	FTEs per Adjusted Occupied Bed	5.53	4.91	0.62	12.6%	4.89	5.50	5.00	0.50	10.0%	4.57	0.93	20.4%

Volume: Year to Date

Inpatient discharges are unfavorable to the budget plan by 155 cases (2.1%) and are below last year by 199 cases (2.7%). Med/Surg cases are favorable 102 cases against budget and 112 against prior year.

Outpatient equivalents are unfavorable to the budget plan by 19 (0.3%) and are unfavorable to prior year by 1,451 (18.1%).

The key drivers for outpatient volume year-to-date are:

- Compared to Budget
 - Areas that are below budget are Lab Tests (10%), Clin Neuro (30%), EKG (15%), and Histology (35%).
 - Areas that are above budget are Cancer Visits (98%) EP lab (44%) and Diagnostic Radiology (90%)

Volume: Month

March inpatient discharges were unfavorable to the budget plan by 91 cases (10.6%) and unfavorable to last March by 89 cases (10.4%). Med/Surg discharges are unfavorable 9 cases compared to budget but favorable 11 cases compared to prior year.

March outpatient equivalents were favorable to the budget plan by 61 (8.0%) and 19 (2.4%) higher than prior year.



Net Revenue: Year to Date

Year-to-date, total net patient revenue is unfavorable to the budget plan by \$266,000 (0.8%) but is above last year by \$4.2 million (2.3%). The key drivers for net revenue are:

- Compared to Budget JDH had the following Price/Volume variances on Adjusted Discharges
 - Volume variance: Adjusted discharges were 174 unfavorable to budget, variance valued at \$2.4 million
 - Price variance: Net Revenue per adjusted discharge was \$153 higher than budget, variance valued at \$2.1 million
- Case mix index is 1.4807 compared to a budget of 1.4986 and a prior year actual of 1.5014.
- Net Revenue per adjusted discharge is above last year by \$1,747 (14.6%).

Net Revenue: Month

March's net revenue is favorable to the budget plan by \$1.1 million (5.0%) but is below prior year by \$269,000 (1.2%).

The key drivers for net revenue are:

- Net Revenue per adjusted discharge is above the budgeted plan by \$915 (6.9%)
- Net Revenue per adjusted discharge is above last year by \$423 (3.1%).

Expenses: Year to Date

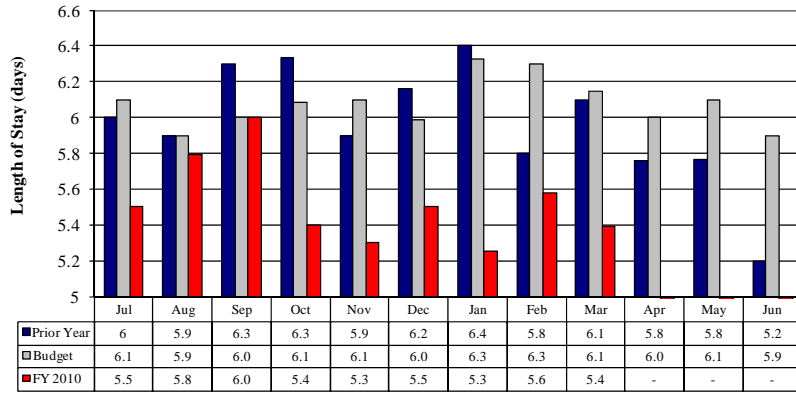
- Expenses are favorable to the budget by \$1.6 million (0.8%).
 - Salaries and Wages and associated Fringe Benefits were unfavorable to budget by \$409,000.
 - Drug costs are favorable to budget by \$1.9 million (13.8%) due in part to JDH's participation in the Federal 340b program.
 - Utilities are favorable to budget by \$836,000 (29.1%) due to a steep decline in the price paid for natural gas.
 - Other favorable variances exist in Medical Contractual Support and Other Expenses. Repairs and Maintenance has changed from a favorable variance last month to an unfavorable variance of \$85,000 this month due to continue HVAC repair costs which are expected to continue for the remainder of the year.
 - Favorable variances are offset by the unfavorable variance of \$1.4 million (5.4%) in Medical Supplies. This variance has been driven by an increase in surgical cases which has led to increased purchases of surgical implants.

Expenses: Month

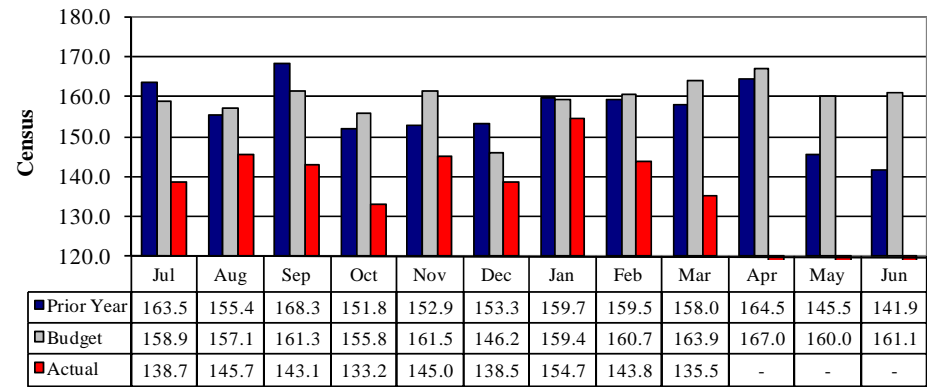
- Expenses were unfavorable to the budget by \$567,000 (2.5%) for the month of March
 - Favorable variances in Drugs (\$198,000) were offset by unfavorable variances in Salaries and Fringe Benefits (combined \$434,000 unfavorable), and Repairs and Maintenance (\$420,000 unfavorable). Repairs and Maintenance expenses include \$300,000 related to an emergency HVAC repair. Another \$100,000 to \$200,000 is expected to be expended in future months.



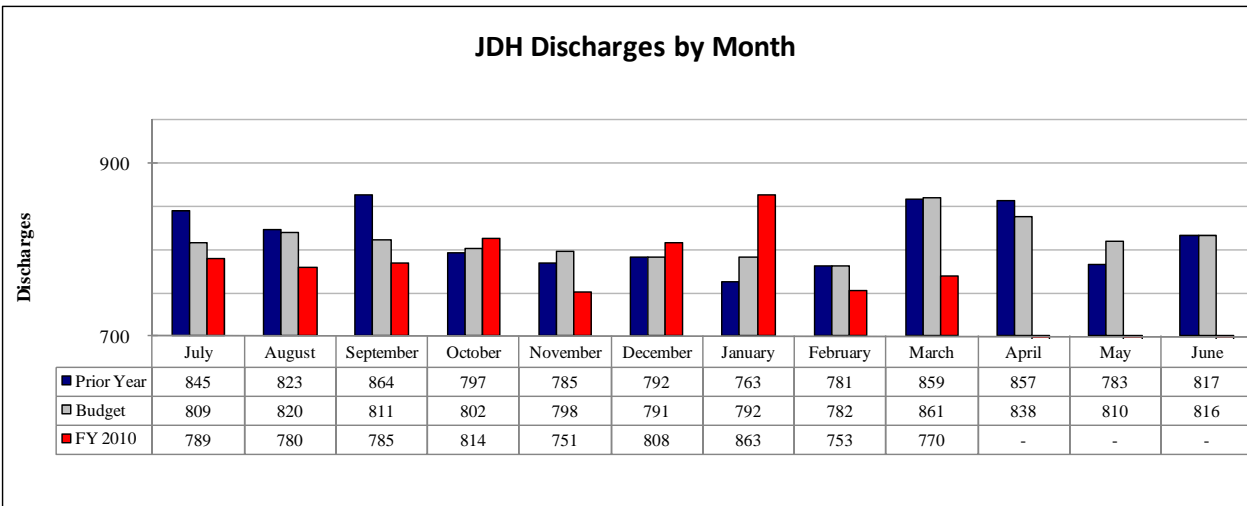
JDH Average Length of Stay by Month



JDH Average Daily Census FY 10 By Month



JDH Discharges by Month





University of Connecticut Health Center

UCONN MEDICAL GROUP
OPERATING STATEMENT
March 31, 2010

	Current Month					Year To Date (7/1/09-3/31/10)				
	<u>Actual '10</u>	<u>Budget '10</u>	<u>Variance</u>	<u>% Variance</u>	<u>Actual '09</u>	<u>Actual '10</u>	<u>Budget '10</u>	<u>Variance</u>	<u>YTD % Variance</u>	<u>YTD Actual '09</u>
<u>REVENUES:</u>										
Net Patient Revenue	\$ 7,188,972	\$ 7,327,065	\$ (138,093)	-1.88%	\$ 6,899,784	\$ 59,448,505	\$ 63,559,801	\$ (4,111,296)	-6.47%	\$ 60,925,215
Other Revenues	543,941	672,972	(129,031)	-19.17%	425,056	5,434,869	5,471,445	(36,576)	-0.67%	3,807,468
Total Revenue	7,732,913	8,000,037	(267,124)	-3.34%	7,324,840	64,883,374	69,031,246	(4,147,872)	-6.01%	64,732,683
<u>EXPENSES:</u>										
Salaries and Wages	4,449,057	4,748,983	(299,926)	-6.32%	5,155,675	39,573,984	42,227,898	(2,653,914)	-6.28%	45,015,224
State Supported Fringe Benefits	727,560	768,694	(41,134)	-5.35%	681,025	6,483,862	6,759,044	(275,182)	-4.07%	5,868,050
Fringe Benefits	831,684	857,919	(26,235)	-3.06%	994,534	6,746,375	7,633,826	(887,451)	-11.63%	7,878,660
Medical Contractual Support	629,947	641,267	(11,320)	-1.77%	684,130	5,440,646	5,510,484	(69,838)	-1.27%	5,826,876
Outside Agency Per Diems	25,310	10,647	14,663	137.72%	0	93,889	91,488	2,401	2.62%	79,545
Drugs	207,980	188,141	19,839	10.54%	174,929	1,528,361	1,616,694	(88,333)	-5.46%	1,479,947
Medical Supplies	153,157	133,763	19,394	14.50%	152,812	1,102,187	1,149,493	(47,306)	-4.12%	1,151,870
Utilities	35,579	20,946	14,633	69.86%	22,350	214,446	212,628	1,818	0.86%	158,361
Outside & Other Purchased Services	1,036,225	842,924	193,301	22.93%	832,876	7,984,612	7,530,676	453,936	6.03%	7,260,952
Insurance	55,516	51,305	4,211	8.21%	49,033	376,578	457,262	(80,684)	-17.65%	433,199
Repairs & Maintenance	30,268	54,857	(24,589)	-44.82%	74,725	352,990	491,658	(138,668)	-28.20%	484,000
Other Expenses	15,636	84,861	(69,225)	-81.57%	109,399	560,110	722,182	(162,072)	-22.44%	687,456
Depreciation	44,184	143,125	(98,941)	-69.13%	73,933	820,266	1,070,625	(250,359)	-23.38%	647,539
Total Operating Expenses	8,242,103	8,547,432	(305,329)	-3.57%	9,005,421	71,278,306	75,473,958	(4,195,652)	-5.56%	76,971,679
Excess/(Deficiency) of Revenues over Expenses	\$ (509,190)	\$ (547,395)	\$ 38,205	7.0%	\$ (1,680,581)	\$ (6,394,932)	\$ (6,442,712)	\$ 47,780	0.74%	\$ (12,238,996)



UConn Medical Group

Results of Operations

Year-to-date the deficit is \$6.4 million compared to a budgeted deficit of \$6.4 million. The deficit for the same period last year was \$12.2 million a favorable change of \$5.8 million. The month of March shows a deficit of \$509,000 compared to a budgeted deficit of \$547,000 for a favorable variance of \$38,000. Other significant highlights include:

Category	Current Month					Year - to - Date						
	Actual	Budget	Variance	Percent	Prior Year	Actual	Budget	Variance	Percent	Prior Year	Variance	Percent
University Medical Group (in thousands)												
23 Excess of Revenues over Expenses/ (Deficiency)	(\$509)	(\$547)	\$38	6.9%	(\$1,681)	(\$6,395)	(\$6,443)	\$48	0.7%	(\$12,239)	\$5,844	47.7%
24 Operating Margin	-6.6%	-6.8%	0.2%	2.9%	-22.9%	-9.9%	-9.3%	-0.6%	-6.5%	-18.9%	9.00%	47.6%
25 RVU's	70,042	75,345	(5,303)	-7.0%	73,357	587,722	649,199	(61,477)	-9.5%	641,597	(53,875)	2.9%
26 Net Revenue Per RVU	\$102.64	\$97.25	\$5.39	5.5%	\$94.06	\$101.15	\$97.90	\$3.25	3.3%	\$94.96	\$6.19	0.6%
27 Cost per RVU	\$117.67	\$113.44	\$4.23	3.7%	\$122.76	\$121.28	\$116.26	\$5.02	4.3%	\$119.97	\$1.31	10.4%
28 Days Revenue in Accounts Receivable	55	58	(3)	-5.8%	58	55	58	(3)	-5.8%	58	(3)	-11.8%

Net Revenue: Year to Date

Net patient revenue is unfavorable to the budget plan by \$4.1 million (6.5%) and is below last year by \$1.5 million (2.4%).

The key drivers for net revenue are:

- RVU's being under budget 61,477 (9.5%) – Volume variance of \$6.0 million.
- Compared to Budget – Price Variance is favorable to the budget by \$1.9 million. The net revenue per RVU was \$3.25 higher than what was budgeted.
- Compared to Last Year – Price Variance is favorable by \$743,000.

Expenses: Year-to-date

- Total expenses are favorable to the budget by \$4.2 million.
 - Personal services, Fringes, and medical contractual support are favorable to budget by \$3.8 million. The salary variances derive primarily from Physician vacancies spread throughout UMG specialties.
 - Expenses exclusive of those noted above were favorable to budget \$379,000 with favorable variances concentrated in Drugs, Medical Supplies, and Repairs and Maintenance.



Net Revenue: Month

The month of March shows net revenue unfavorable to the budget plan by \$138,000 but \$289,000 above the same month in the prior year.

The key drivers for net revenue are:

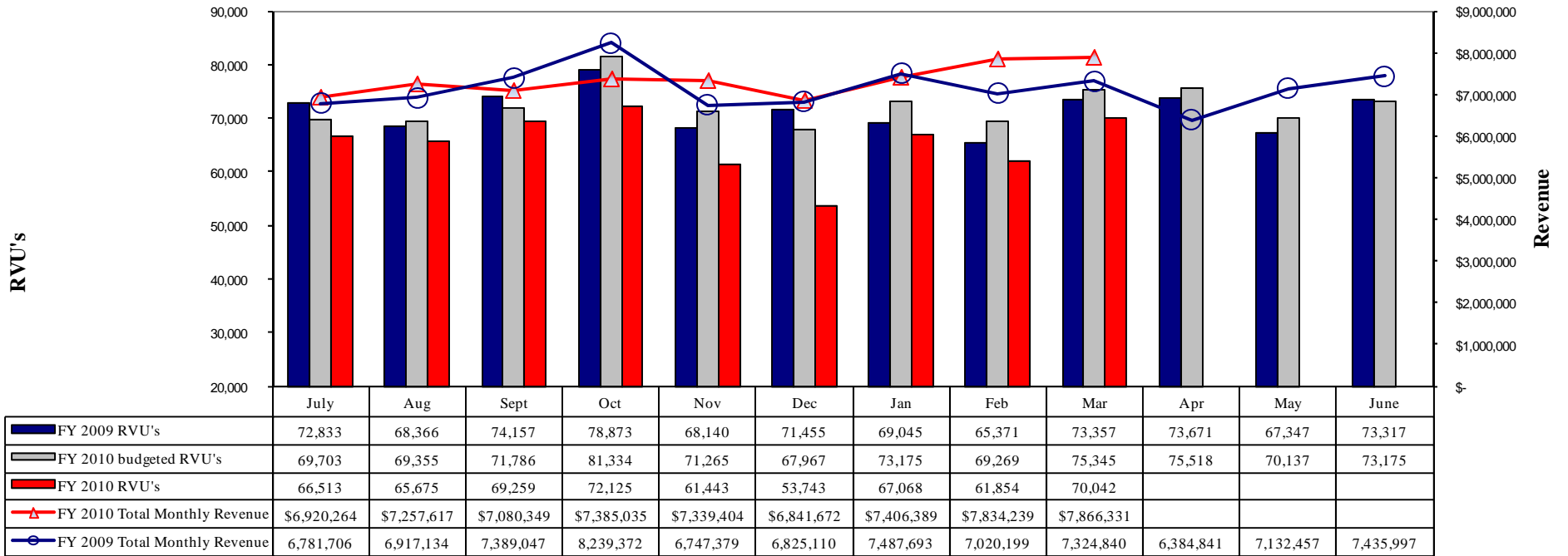
- RVU's being unfavorable to the budget by 5,303 (7.0%) – Valued at \$516,000.
- Compared to Budget –The net revenue per unit was \$10.36 higher than what was budgeted resulting in a favorable price variance of \$641,000.

Expenses: Month

- Total Expenses are favorable to budget by \$305,000.
- Personal services, Fringes, and medical contractual support are favorable to budget by \$379,000. Personal Services and Fringe variances are due to the FTE vacancies noted in the year to date section above.
- Expenses exclusive of those noted above were unfavorable to budget \$73,000.



University Medical Group Comparison of Actual, Budgeted, and Prior Year RVU's Against Monthly Total Revenues



CONNECTICUT STATE UNIVERSITY SYSTEM
General and Operating Fund Quarterly Report
As of March 31, 2010

Overview Narrative

Comments relating to the nine months ending March 31, 2010, for the Connecticut State University System are presented below.

I. Enrollment

Overall, full time headcount increased 3.5% from 23,870 in the Spring 2009 to 24,703 in the Spring 2010. This represents a 3.2% increase (+719 students) in undergraduate enrollment and a 7.0% increase (+114 students) in graduate full time enrollment. This is the thirteenth consecutive year that CSUS has achieved an increase in total full time enrollment.

The Spring FTE total enrollment of 27,768 students represented an increase of 900 students or 3.3% from Spring 2009 FTE of 26,868 students. Spring FTE enrollment has continued to increase over the past fourteen years, from 20,417 in the Spring 1996 to 27,768 in the Spring 2010.

II. Spending Plan

In October 2009, the Board of Trustees reviewed and approved the FY2009-2010 Spending Plans for the Universities, System Office and System-wide Direct. This Spending Plan includes virtually flat general fund appropriations and a slight increase in general fund Fringe Benefits, due to an increase in fringe benefit rates. Budgeted Other Expenses reflect increases primarily in utilities, services, food service contracts (offset by food service revenue) and insurance.

III. Revenues and Expenditures

The CSU System's FY2010 Spending Plan projects a \$92,219 net addition of funds for the fiscal year with revenues of \$619.8 million and expenditures and transfers of \$619.7 million.

State Appropriations of \$127.4 million were 78.4% of plan, compared to 77.8% of plan last year, while General Fund fringe benefits of \$54.8 million were 71.4% of plan. This level was below budget due to the delayed receipt of payments for two payrolls. Had these payments been included in the third quarter, the resulting percentage would be 79.1%.

Total tuition and extension fees for the nine months of FY 10 were \$141.4 million or 94.5% of plan, which is slightly above last year's level of 94.0%. Fees of \$85.8 million were 95.7% of FY 10 plan of \$89.7 million. Fee income is higher than last year due to increased full - time enrollment and fee rates. Actual Grant revenues of \$50.4 million were 121.6% of plan, due to higher than planned Student Aid expenditures at 121.2% of plan. Auxiliary revenue of \$89.2 million was 106.9% of plan, and represented an increase over FY 09 primarily due to higher student accident insurance receipts than budgeted. Other Revenues of \$11.9 million were 72.2% of budget compared to 80.4% last year. This decrease was primarily due to lower interest income, program income, and concessions.

Personal Services expense of \$211.0 million was 74.2% of plan and Fringe Benefits of \$82.2 million were 73.0% of plan. These were in line with FY 09 personal services and fringe benefits of 75.8% and 71.7%, respectively. Other Expenses of \$76.0 million were 60.8% of plan compared to 66.6% last year. The actual dollar amount is below last year, due to spending constraints. Equipment Expenses of \$6.7 million were 60.0% of budget, versus 92.2% in FY 09. This is also due to spending constraints. Student Aid of \$72.9 million was 121.2% of plan, comparable to 122.2% last year, and in line with the increase in grant revenue (mainly Pell Grants).

IV. Fund Balance Policy and Projections

CSUS has engaged PricewaterhouseCoopers to perform an audit of FY 2010, with completed financial statements expected in December 2010. As a result, this report contains an estimate for FY 10 fund balance, which will be adjusted when audited financials are available.

Connecticut State University System
Statement of Operating Budget Revenues and Expenses
for the Nine Months Ending March 31, 2010

	Operating Budget			Actual		
	Total	E&G	Auxiliary Enterprise	Total	E&G	Auxiliary Enterprise
Revenues						
State Appropriations (1)	\$ 162,517,232	\$ 162,517,232		\$ 127,381,727	127,381,727	
Fringe Benefit Allotment	76,735,918	76,735,918		54,796,432	54,796,432	
Tuition	124,559,042	124,559,042		125,266,159	125,266,159	
Extension Fees (PT)	24,997,477	24,997,477		16,115,675	16,115,675	
Fees	89,653,709	89,653,709		85,834,552	85,834,552	
Grants and Contracts	41,464,263	41,464,263		50,409,111	50,409,111	
Auxiliary Enterprises	83,454,601		83,454,601	89,171,699	0	89,171,699
All Other Revenues	16,421,898	16,421,898		11,854,311	11,854,311	
Total Revenue	\$ 619,804,140	\$ 536,349,539	\$ 83,454,601	\$ 560,829,666	\$ 471,657,967	\$ 89,171,699
Expenses						
Personal Services	\$ 284,491,886	272,099,621	12,392,265	\$ 211,041,493	205,166,035	5,875,458
Fringe Benefits	112,613,860	107,061,853	5,552,007	82,237,804	79,671,811	2,565,993
Other Expenses	124,971,242	87,364,794	37,606,448	75,985,605	46,493,647	29,491,958
Equipment	11,233,217	10,636,606	596,611	6,734,119	6,698,475	35,644
Student Aid	60,178,700	60,178,700	-	72,925,680	72,921,391	4,289
Debt Service	14,103,592	6,226,662	7,876,930	1,539,183	559,139	980,044
Non-Mandatory Transfers	12,119,424	9,403,437	2,715,987	14,375,055	22,169,258	(7,794,203)
Transfer to State				1,000,000	1,000,000	
Total Expenses/Transfers	\$ 619,711,921	\$ 552,971,673	\$ 66,740,248	\$ 465,838,939	\$ 434,679,756	\$ 31,159,183
Adjustments						
Net Gain(Loss)	\$ 92,219	(\$16,622,134)	\$ 16,714,353	\$ 94,990,727	\$ 36,978,211	\$ 58,012,516

	<u>CSUS Budget</u>
(1) General Fund Appropriation	163,108,416
Statewide Lapse: Management Reduction	(352,434)
Statewide Lapse: Outside Consultant Contracts	(238,750)
	<u>162,517,232</u>

**Connecticut State University System
Statement of Changes in Net Assets (Fund Balance)
FY 2009-10**

Net Assets	Unrestricted	Restricted	Invested in Capital Assets	Total
Net Assets (Audited) 6/30/09	\$73,463,602	\$34,332,220	\$664,566,915	\$772,362,737
Comprised of:				
Loan Funds	2,191,535			2,191,535
Debt Service/Debt Service Prefunding	14,755,195			14,755,195
Auxiliary Renewal/Replacement	10,883,730			10,883,730
Collective Bargaining/Personnel Obligations; Required Set-aside per BOT Policy	28,820,136			28,820,136
Funds Designated due to Student/Other Commitments	16,813,006			16,813,006
Estimated Change (Operating/Other) (1)	(3,624,664)	15,000	10,000,000	6,390,336
Estimated Net Assets 6/30/10	<u>\$69,838,938</u>	<u>\$34,347,220</u>	<u>\$674,566,915</u>	<u>\$778,753,073</u>

Estimated Revenues and Expenditures Cash Basis - FY10

Revenues	\$615,871,166			
Expenditures and Transfers	(\$619,495,830)			
Net Increase (Decrease)	<u>(\$3,624,664)</u>			
Estimated Net Assets 6/30/10	<u>\$69,838,938</u>	<u>\$34,347,220</u>	<u>\$674,566,915</u>	<u>\$778,753,073</u>

Notes:

Unrestricted represents funds available to support CSUS activities and operations at the discretion of the Board of Trustees, the Chancellor, and the university Presidents. The majority of these funds have been designated for specific purposes.

Restricted represents resources that are legally restricted to certain purposes by law or covenant, including grants and debt service funding.

Invested in Capital Assets is the balance sheet value of all land, buildings, construction-in-progress, equipment and other assets at 6/30.

(1) The estimated change for FY 10 includes a \$7,000,000 reduction for Deficit Mitigation Plan payments during FY 10 and FY 11.

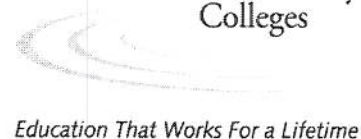
Connecticut
Community
Colleges



Education That Works For a Lifetime

THIRD QUARTER FY2010 REPORT

Period ending March 31, 2010



May 3, 2010

To: Nancy Brady, Department of Higher Education

From: *Vicky Greene*
Vicky Greene, Chief Financial and Administrative Officer

Re: Third Quarter FY2010 Report

This report for the quarter ending March 31, 2010 is forwarded to your attention on behalf of the Board of Trustees for Community Technical Colleges, for submission to the Joint Standing Committee on Appropriations of the General Assembly and the Office of Policy and Management through the Board of Governors in accordance with C.G.S. Section 10a-77c as amended. This report reflects all current funds revenues and expenditures on an operating budget basis, and projects net assets for all funds on an accrual basis as reported in externally audited system financial statements.

Third quarter results reflect the continuation of extraordinary enrollment increases and budgetary challenges, with revenues at 94% of budget and expenditures at 82% of budget through March 31, 2010. Spring 2010 enrollment figures reflect an 11.7% FTE increase over Spring 2009. This continues the trend seen in Fall 2009, when FTE enrollment was up by 9.7% over Fall 2008. Spring student headcount enrollment was up 9.2% with more than 55,000 students taking credit courses in the Spring semester for the first time ever. Full time student enrollment continues to climb, now representing more than one-third of all students.

Following delayed enactment of the State budget in September, the CCC's continued during the third quarter to implement a budget plan developed to accommodate the permanent roll-forward of \$7.5 million in reductions from FY2009 and almost \$8.0 million in FY2010 unfunded obligations related to FY2009 and FY2010 wage increases and approved collective bargaining agreements. The elimination of 132 budgeted positions and other obligations valued at \$6.9 million, and the transfer to the Operating Fund of 96 positions as well as adjunct faculty and clinical obligations valued at \$8.2 million, has allowed the system to identify remaining budgetary resources to permanently refill about 77% of vacant faculty positions and 57% of critical non-faculty vacancies. During much of academic year 2010, while searches to permanently fill these positions are under way, many critical instructional, academic, student support and other needs continue to be met largely by the temporary use of full- and part-time lecturers and educational assistants.

Although higher education units were ostensibly "flat funded" in FY2010 due to restrictions imposed under the federal stimulus requirements that limit the state's ability to reduce funding to education, the CCC's will actually receive fewer General Fund dollars in FY2010 than in either FY2009 or FY2008, with other new obligations remaining unfunded, including the annualization of FY2009 wage settlements, new costs associated with a nursing/allied health contract award and other newly awarded FY2009 wage increases, as well as FY2010 increased wage costs agreed to under the state-wide SEBAC concession agreement. Much of the substantial current services reductions implemented earlier in the year were necessary in order to reallocate resources to cover these current year unfunded obligations, and will also position us to better manage additional unfunded wage increases scheduled in the upcoming fiscal year 2011 under the SEBAC agreement.

Revenues and Expenditures

For the third quarter of fiscal year 2010, total operating budget revenues of \$437.3 million were at 94% of the annual revenue budget, and total expenditures of \$385.0 million were at 82% of the expenditure budget for the year.

Tuition revenues earned through the third quarter were \$110.5 million, about 6% above the original budget, with student fee revenues of \$41.7 million about 4% above budget. Original budget planning anticipated that enrollment growth would be restricted to approximately 3% system-wide, but the unprecedented demand at our colleges state-wide resulted in a reexamination of budgetary and physical capacity over the summer, with a decision to accommodate as many students as possible despite the very challenging budgetary climate. While additional tuition and fee revenues will support much of the direct cost increases, nevertheless some colleges and courses turned students away due to a combination of facility and budgetary constraints, and a concern over our ability to provide an appropriate level of services necessary to ensure student success.

Extension fees totaling \$20.9 million were almost 1% above budget overall through the third quarter. Credit extension revenues of \$10.1 million were almost 9% above budget for the year, reflecting summer 2009 activity and other revenues to date, while non-credit revenues of \$10.8 million were about 94% of budget through the third quarter. Government grants of \$85.6 million were 30% above budget, primarily the result of enrollment-related increases in federal student financial aid, as well as other financial aid and non-financial aid grants revenue earned. Private gifts and grants of \$6.0 million were at 9% above budgetary projections based on historical levels, reflecting the increasing importance of revenues from other-than government and student sources. Other revenues of \$10.4 million, including bookstore revenues, as well as daycare, commission, interest income and other miscellaneous revenues were at 80% of budget year-to-date.

Salary and fringe benefit expenditures year-to-date were 73% and 77% of budget, respectively, reflecting both staff reductions and delays in refilling critical vacancies on a permanent basis, offset by the addition of adjunct faculty and durational non-faculty to continue operations and provide the educational and support services necessary to respond to the dramatic fall and spring semester enrollment increases. Other non-payroll expenditures were at 64% of budget for the year, reflecting obligations that carried forward from the previous year, as well as increased activity to support growing enrollment, offset by continuing cost reduction efforts implemented where possible to conserve resources in light of continuing budgetary shortfalls.

Capital outlay expenditures of \$4.2 million in the first nine months were more than double the level budgeted for the full year, due to the expenditure of Operating Fund dollars in lieu of bond funds. Financial aid grants, waivers and student work study employment totaled \$94.8 million, about 23% above budget year-to-date, reflecting large enrollment-related increases.

Net Asset Projection

Unrestricted net assets declined by \$8.6 million during fiscal year 2009 as enrollments reached record highs and the system sought to continue serving students despite General Fund budgetary reductions of \$8.1 million imposed during the year. During fiscal year 2010 the operating budget contemplates an additional reduction of \$2.1 million from operations, with another \$2.0 million required by statute to be reserved for

transfer to the State during FY2010 and FY2011, bringing the unrestricted net assets from \$24.2 million at June 30, 2009 to an estimated \$20.1 million at the end of the current year.

CCC Board of Trustees policy provides that unrestricted net assets should be maintained at a level that covers all carry-forward obligations, and allows for a system contingency reserve of 1.2% of total operating expense, college contingency reserves of up to 2-3%, plus optional reserves for specific college or system needs including new facility transition expenses, new academic program startup initiatives, technology and telecommunications upgrades, and other projects that may require more funds than would be available in a single year's budget. Based on this policy, at 6/30/09 the System and colleges are had about \$6.6 million reserved at year end for carry-forward obligations (existing contracts and purchase orders and prepaid expense), approximately \$6.4 million in contingency reserves representing about 1.4% of total operating expense, \$1.4 million in bookstore inventories, and a net \$7.8 million in other designated reserves held at the system and colleges for one-time academic, facility, information technology and other designated projects. In addition, \$2.0 million was marked for mandatory transfer to the State.

w:\FY10\DHE\Third Quarter
cc Marc S. Herzog, Chancellor
Deans of Administration
Elizabeth Squillace, Director of Accounting and Finance
Beverly Lambert, Director of Budget and Finance

Connecticut Community Colleges - Third Quarter FY2010 Report

**Statement of Revenues and Expenditures on a Budgetary Basis - Current Funds Operating Budget
for the Quarter Ending March 31, 2010**

	Original Operating Budget	YTD Actual	Percent of Budget	Percent of Total
Revenues				
State Appropriations	\$ 159,852,596	\$ 121,296,188	76%	28%
State Fringe Benefits	77,138,965	61,367,372	80%	14%
Tuition	104,538,102	110,501,428	106%	25%
Fees	39,964,743	41,741,037	104%	10%
Government Grants and Contracts	66,026,241	85,635,586	130%	20%
Private Gifts, Grants and Contracts	5,529,687	6,014,163	109%	1%
Sales of Educational Activities	454,000	399,055	88%	0%
All Other Revenues	12,970,673	10,361,625	80%	2%
Total Revenue	\$ 466,475,007	\$ 437,316,454	94%	100%
Expenditures and Transfers				
Personal Services	\$ 233,994,525	\$ 171,565,205	73%	45%
Fringe Benefits	94,406,133	72,672,938	77%	19%
Other Expenses	66,072,525	42,524,120	64%	11%
Capital Outlay	1,537,932	4,212,200	274%	1%
Student Aid	72,600,510	92,976,155	128%	24%
Transfer	1,000,000	1,000,000	100%	0%
Total Expenditures/Transfers	\$ 469,611,625	\$ 384,950,618	82%	100%
Adjustments				
Budgetary Net Gain (Loss)	\$ (3,136,618)	\$ 52,365,836		

Block Grant	General Fund EOY Appropriation	General Fund Actual YTD
Manufacturing Tech SID's	\$ 157,146,671	119,214,706
Tuition Freeze	545,000	419,231
	2,160,925	1,662,251
Original Appropriation / BOT Approved Budget	159,852,596	121,296,188
Governor BI Allotment Adjustment	(1,329,335)	-
Revised Net Appropriation Allotted	158,523,261	121,296,188

**Connecticut Community Colleges
Estimated Annual Statement of Changes in Net Assets (Fund Balance)**

FY 2010

FY2010 Operating Budget Revenues and Expenditures	Unrestricted	Restricted	Invested in Capital Assets	Total
FY2010 Revenues	\$391,092,792	\$75,382,215	\$0	\$466,475,007
FY2010 Expenditures & Transfers	\$395,229,410	\$74,382,215	\$0	\$469,611,625
FY2010 Net Increase (Decrease)	(\$4,136,618)	\$1,000,000	\$0	(\$3,136,618)

Net Assets	Unrestricted	Restricted	Invested in Capital Assets	Total
Net Assets 6/30/09, audited				
Unrestricted	\$24,241,457	\$0	\$0	\$24,241,457
Restricted	\$0	\$56,962,205	\$0	\$56,962,205
Invested in Capital Assets	\$0	\$0	\$483,137,496	\$483,137,496
Total Net Assets	\$24,241,457	\$56,962,205	\$483,137,496	\$564,341,158

Prepaid expenses	\$530,552
Bookstore inventory	\$1,427,851
Mandatory transfer to State	\$2,000,000
Outstanding purchase obligations	\$6,128,618
Contingency funds / BOT policy*	\$6,374,604
Academic, facility, IT, other designated	\$7,779,832
Total Commitments & Obligations	\$24,241,457

Unrestricted Net Assets 6/30/10, estimated

Unrestricted	\$20,104,839
Restricted	\$0
Invested in Capital Assets	\$0
Total Net Assets	\$20,104,839

Notes:

Unrestricted represents operating budget resources supporting core, on-going operations as determined by the Board, Chancellor and college presidents

Restricted represents resources that are legally or donor restricted to certain purposes including operating budget grants/gifts, capital expenditures, and agency funds held on behalf of others

Invested in Capital Assets is the balance sheet value of all land, buildings, construction-in-progress, equipment and other assets at 6/30

*Represents approximately 1.4% of total operating expense; BOT policy provides for up to 4.2%

BOARD FOR STATE ACADEMIC AWARDS
Charter Oak State College
Connecticut Distance Learning Consortium

*Comparison of General and Operating Fund Budget to Actual
For the Nine-Month Period Ending March 31, 2010*

OVERVIEW NARRATIVE

Charter Oak State College

Charter Oak's FY 2010 operating budget, excluding carry-forward funds, reflects \$9.62 million in revenues and \$9.67 million in expenses. The major components of the revenue include \$2.16 million in state appropriation, \$804,666 for the value of fringe benefit costs for general fund employees, and \$6.16 million in fees. The major expenditures in the \$9.67 million include personal services and fringe benefits of \$5.81 million and \$3.66 million in other institutional expenses. The budget projects a use of \$47,974 in reserves and a fund balance of \$1,943,587 on June 30, 2009.

At the close of the third quarter, combined Operating Fund receipts totaled \$7.91 million and combined expenditures total \$6.74 million. Year-to-date receipts equal 82.2 percent of the operating expenditure budget and 69.7 percent of the expenditure budget. Enrollment increases projected for degree candidates and distance learning course registration continue to track above expectation.

The percentage of the budgeted amount expended by major expenditure area is as follows:

Personal Services	74.7%
Fringe Benefits	76.1%
Other Expenses	69.7%
Student Aid	94.8%

Overall, revenue and expenditures are consistent with the planned budget. While use of reserves is no longer expected to be necessary to support the FY 10 budget, anticipated excess revenue will be used to support collective bargaining increases deferred until FY 11 and the absence of CEPF in FY 11.

Connecticut Distance Learning Consortium (CTDLC)

The CTDLC FY 2010 operating budget, excluding carry forward funds, reflects \$2.62 million in revenues and \$2.83 million in expenses. The major components of revenue are: \$682,547 in state appropriation, \$325,000 in grants, and \$1.34 million in fees received for services. The expenditure budget consists of \$1.69 million in personal services and benefits, \$808,642 for institutional expenses, and \$325,000 in grant expenditures. The ESA budget projects a net loss of \$205,952 and a fund balance of \$783,418 on June 30, 2009. The use of reserves will fund the FY 2010 equipment replacement needs for the data center beyond the receipt of CEPF money received in FY 10.

At the close of the first quarter, the operating fund receipts total \$1.83 million and combined expenditures total \$1.79 million. Year-to-date receipts equals 70.2 percent of the budgeted receipts and the expenditures equal 63.4 percent of the amount budgeted. The percentage of the budgeted amount expended by major expenditure area is as follows:

Personal Services	69.6%
Fringe Benefits	73.9%
Other Expenses	38.2%

Revenue is a little less than expected, but expenditures are also less. Overall, however, the actual compared to planned budget is within expected parameters.

Board for State Academic Awards
Statement of Operating Budget Revenues and Expenses
for the Three Months ending March 31, 2010

	Operating Budget			Actual		
	Total	COSC	CTDLC	Total	COSC	CTDLC
Revenues						
State Appropriations	\$ 2,847,633	\$ 2,165,086	\$ 682,547	\$ 2,217,683	\$ 1,694,111	\$ 523,572
Fringe Benefit Allotment	1,070,859	804,666	266,193	803,144	603,499	199,645
Partnerships	-			-		
Fees	6,160,803	6,160,803	-	5,227,939	5,227,939	
Grants and Contracts	516,704	191,704	325,000	561,938	198,817	363,121
All Other Revenues	1,648,462	303,462	1,345,000	940,962	189,755	751,207
Total Revenue	\$ 12,244,461	\$ 9,625,721	\$ 2,618,740	\$ 9,751,666	\$ 7,914,121	\$ 1,837,545
Expenses						
Personal Services	\$ 5,445,767	\$ 4,203,405	\$ 1,242,362	\$ 4,004,641	\$ 3,140,553	\$ 864,088
Fringe Benefits	2,065,046	1,616,358	448,688	1,561,339	1,229,666	331,673
Other Expenses	4,470,870	3,662,228	808,642	2,546,420	2,237,166	309,254
Short Term Transfer to GF&WIT				-		
Equipment	-			-		
Student Aid	101,393	101,393		96,100	96,100	
Grants & Partnerships	415,311	90,311	325,000	323,155	37,132	286,023
Total Expenses/Transfers	\$ 12,498,387	\$ 9,673,695	\$ 2,824,692	\$ 8,531,655	\$ 6,740,617	\$ 1,791,038
Adjustments						
Net Gain(Loss)	\$ (253,926)	\$ (47,974)	\$ (205,952)	\$ 1,220,011	\$ 1,173,504	\$ 46,507
Net Inc (Dec) to Cash					1,173,504	46,507
Cash Balance 6/30/09					1,943,587	783,418
Balance 9/30/09					<u>3,117,091</u>	<u>829,925</u>

NOTE: Above figures do not include carry forward amounts, Matching Grant, CEPF, bond funds for facility or grant default amounts.

NOTE: The Fringe Benefit allotment includes State grants for the Alternate Route to Certification (ARC) grants

BOARD FOR STATE ACADEMIC AWARDS

Statement of Changes in Cash Balance

All Funds

	<u>Unrestricted</u>
<u>Revenues and Expenditures</u>	
Revenues	\$ 12,244,461
Expenditures and Transfers	<u>12,498,387</u>
Net Increase (Decrease)	\$ (253,926)
<u>Fund Balance</u>	
Fund Balance, 6/30/09	
Restricted	
Unrestricted	<u>2,727,005</u>
Total Fund Balance	\$ 2,727,005
Committed Funds	
Assigned Funds	\$ (2,081,439)
Operating Change	\$ (253,926)
Other Change	
Net Increase (Decrease)	\$ (2,335,365)
Fund Balance, 6/30/10	
Restricted	\$ -
Unrestricted	<u>391,640</u>
Total Fund Balance	\$ 391,640

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Goodwin College

Item: Licensure of a program in Occupational Therapy Assistant, leading to an Associate of Science (A.S.) degree

Date: May 19, 2010

Executive Summary

Goodwin College's proposed program in Occupational Therapy Assistant enables students the opportunity to achieve competency in entry-level skills and become certified. According to the College, the student will benefit from a three semester per year format allowing them to complete and move through the program quickly and obtain gainful employment. Occupational Therapy Assistants help individuals with activities and exercises as specified in a treatment plan developed by an occupational therapist. The Assistant monitors these activities to make sure they are performed correctly and they record progress for the occupational therapist's review.

Upon completion of the program graduates will have the necessary skills and knowledge to secure employment as registry-eligible occupational therapy assistant practitioners and will be eligible to apply for temporary licensure with the State of Connecticut Board of Health. Once temporary licensure is granted, graduates must apply for and pass the entry-level examination given by the National Board of Certification for Occupational Therapy Practitioners.

The Advisory Committee on Accreditation, at its meeting on April 15, 2010 reviewed this proposal and found it to be in compliance with Board of Governors approval standards. The committee voted to recommend approval to the Board of Governors all in favor with one abstention.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education license a program in Occupational Therapy Assistant, leading to an Associate of Science (A.S.) degree, to be offered by Goodwin College for a period of two years, until May 31, 2012.

Description

Purpose and Objectives

Upon successful completion of all Occupational Therapy Assistant degree program requirements, graduates will:

1. Sit for the National Certification Exam.
2. Demonstrate the ability to comprehend, apply, and evaluate clinical information relevant to their role as an occupational therapy assistant.
3. Demonstrate the clinical skills and proficiency in all relevant practice areas necessary to fulfill the role as an occupational therapy assistant.
4. Demonstrate professional behavior consistent with employer expectations for the occupational therapy assistant.
5. Demonstrate professional skills to begin work in any one of the specialty areas of occupational therapy practice with the general and specific tools necessary to complete the job requirements
6. Comprehend the scope of occupational therapy practice.
7. Complete the comprehensive learning outcomes identified within each syllabus
8. Complete the comprehensive learning outcomes identified with the General Education Component

Goodwin College is concurrently applying to the Accreditation Council for Occupational Therapy Education (ACOTE). The College has received *Approval of Intent* from American Occupational Therapy Association (AOTA) to develop an occupational therapy assistant program. ACOTE is the accrediting body for AOTA. The College anticipates a review in April 2010 thus gaining *Developing Program Status*, which signals that the first class may begin enrollment. The College would like to admit students in the fall of 2010. The initial Report of Self Study will be due in December of 2010. The Self-Study is then reviewed by AOTA Accreditation staff and recommendations to ACOTE for consideration are made for the April 2011 scheduled meeting. If a *Letter of Review* is granted, the college is notified of an on-site evaluation date. This visit will likely occur in October of 2011. Within this timeframe, ACOTE will review the evaluation in December of 2011 and vote on accreditation status for the program. If accreditation is granted, students may sit for the registration examination with the National Board for Certification of Occupational Therapy (NBCOT).

Administration

A Program Director will report to the Chair of the Health and Natural Sciences Department. This Program Director will be responsible for all aspects of the program, including the organization, administration, continuous review, planning, development, and general effectiveness of the program. Once the program is approved, a full-time academic fieldwork coordinator or FTE faculty position will be hired. The Program Director and the identified academic fieldwork coordinator will teach most of the core courses in the occupational therapy assistant program, each teaching 6-12 credits per semester.

Curriculum

According to Goodwin College, an advisory committee was established in May of 2009 including student participation, clinicians and occupational therapy practitioners to provide advice and support to the development of the program. Once *Developing Program Status* is granted, the advisory board will meet monthly to review the curriculum, review program goals and objectives, and assist with the accreditation process. Once the program begins to admit students the advisory committee will meet quarterly.

General Education Core Requirements- 25 credits

CAP1XX	Computer Literacy Elective	3
ENG 101	English Composition	3
ENG 1XX	Writing Elective	3
MATH 1XX	Math Elective	3
COM 1XX	Communications Elective	3
BIO 120	Human Biology	4
HUM	Humanities Elective	3
PSY 112	Introduction to Psychology	3

Non-Major Core Requirements- 6 credits

ASD 120	The College Experience	3
PSY 212	Life Span Development	3

Occupational Therapy Assistant Core Requirements- 36 credits

OTA 100	Foundations of Occupational Therapy and Task Analysis	3
OTA 101	Foundations of Movement and Function	3
OTA 102	Clinical FW I	1
OTA 103	Group Dynamics in OTA	3
OTA 200	OTA and Adult Populations	4
OTA 201	OTA and Pediatric Populations	4
OTA 202	OTA and Geriatric Populations	4
OTA 203	Case Studies and Practical Applications in OTA	3
OTA 204	OTA Seminar	1
OTA 205	Advanced Clinical Practicum Level II A	5
OTA 206	Advanced Clinical Practicum Level II B	5

Total Program Credits

67

The program requires approximately 700 hours of fieldwork experience in various healthcare settings which may include adult rehabilitation, pediatrics, behavioral health, homecare, and sub-acute care, Student-clinical supervisor ratios will range from 1:1 to 2:1 depending on the fieldwork site. Goodwin College has received verbal agreements with sub-acute care providers, pediatric clinics and adult rehab centers within the state to provide opportunities for students in the OTA program FWI and FWII experiences. The identified fieldwork coordinator will be responsible for placing all students in FWI and FWII placements and maintaining the appropriate paperwork to establish and maintain contracts with the institutions. Letters have been mailed to clinical sites and advisory board members working in clinical sites have agreed to accept Goodwin College OTA

students. Additional sites will be acquired as needed and based on student areas of interest. A copy of the contract has been provided.

Admissions and Enrollment

The occupational therapy assistant program will have selective admission criteria in addition to the general college admissions procedures outlined in the Goodwin College catalog. They include:

- Completion of the Accuplacer Test ®. Applicants must qualify for ENG 101 and MATH 099 in order to enter the Occupational Therapy Assistant program. Any remedial courses required must be completed before entering the program.
- Cumulative 2.5 GPA in the last twelve college courses taken or on high school transcripts if no college courses have been taken.
- Documented observation of occupational therapy in any specialty area. Observation must total 10 hours and must be completed in the prior 12 months.
- One Letter of Recommendation, preferably from a health care professional. This letter may be from the observation site supervisor.
- Applicants who have satisfied all of the admission criteria may also be required to interview with the Program Director before admission.
- Applicants should possess the vision, hearing, tactile sensation, gross and fine motor strength and coordination, memory, critical thinking, and interpersonal skills adequate to allow effective communication, ensure safety of self and others, document accurately, and provide evaluation and treatment meeting medical standards required by facilities.

A felony, loss of license, administrative disciplinary proceeding for negligence, malpractice, recklessness, or willful or intentional misconduct may prohibit entrance into the program and/or eligibility to sit for the NBCOT certification exam.

Required documentation includes:

- CPR certification prior to first FW II rotation
- Physical exam within one year of start of FW II rotation
- Fingerprinting (if required by FW site) prior to start of FW II

Goodwin College anticipates accepting students for full and part-time study in September 2010. The College is projecting five full-time and 15 part-time students in the first year. The enrollment is expected to increase to 22 full-time and 14 part-time students by year three of implementation. Full-time students will take approximately four semesters, or one and a half calendar years to complete the program. The first graduating class is projected for 2012.

Comments from Other Institutions in Connecticut

There have been no comments or questions about the program from other institutions of higher education in Connecticut.

Resource Support

Faculty

Goodwin College reports that the full-time program director and additional FTE to include the academic fieldwork coordinator will teach most required core courses in the occupational therapy assistant program.

Library and Learning Resources

The Goodwin College Library has resources that support the nursing, respiratory care, and allied health programs as well as the medical assisting program, early childhood development program, respiratory care and paraprofessional programs. The College has supplied a list of proposed purchases that will support the new program and has included these intended acquisitions in their resource summary of expected expenditures.

Facilities and Equipment

A clinical skills laboratory equipped for the occupational therapy assistant and an additional OT adult clinical lab is currently being designed for space already available on campus. This lab will be designed and equipped according to ACOTE standards. The equipment necessary to run the program, as well as the consumables required for the program are budgeted for in the program summary.

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Northwestern Connecticut Community College

Item: Licensure of a program in Nursing, leading to the Associate of Science (A.S.) degree

Date: May 19, 2010

Executive Summary

Northwestern Connecticut Community College has applied for licensure of a program in Nursing, leading to the Associate of Science (A.S.) degree. The proposed program would become the sixth nursing program in the Connecticut Community College Nursing Program (CT-CCNP) consortium. The program prepares students for the NCLEX-RN examination and for entry level nursing opportunities in health care settings, such as hospitals, extended care facilities, doctor's offices and ambulatory health care centers. Students will be able to transfer to four year institutions to continue their education.

The Advisory Committee on Accreditation at its meeting on April 15, 2010, reviewed the proposal and found it to be in compliance with Board of Governors approval standards. The motion to recommend approval to the Board of Governors passed unanimously.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education license an Associate of Science (A.S.) degree in Nursing, to be offered by Northwestern Connecticut Community College for a period of two years until May 31, 2012.

Description

Purpose and Objectives

The program responds to an identified nursing shortage in the northwest corner of Connecticut and fulfills Northwestern's mission to "inspire learning through accessible, high quality education." It meets two important community needs both in terms of employment opportunities for area residents and as a response to an aging population's increased need for nursing care in local towns. The proposed nursing program will specifically address the need of the three acute care hospitals, in addition to long-term care facilities and community nursing organizations in Northwestern's service area.

The program's objectives are to provide individuals with the requisite knowledge and skills so that they may successfully complete the NCLEX-RN examination and be gainfully employed as primary care givers at hospitals, nursing homes, and other health care facilities.

Administration

A nursing director will be hired, who will report to the Dean of Academic Affairs. As required by the Board of Examiners for Nursing, the director needs to be in place a full six months prior to the start of the program. Upon approval, the College plans to hire a director by July 1, 2010 and start the program with the January 2011 semester.

Curriculum

The curriculum consists of 68 credits distributed as follows: 30 credits in general education, and 38 credits in specialized nursing courses. The college is following the CT-CCNP curriculum, which is used system wide. All courses and syllabi are developed. A copy of the curriculum is attached. The College has identified eight learning outcomes.

Clinical Affiliates will include McLean Home, Charlotte Hungerford Hospital, New Milford Hospital, Sharon Hospital, Waterbury Hospital and St. Mary's Hospital. In addition, they plan to use the following healthcare facilities: Geer Nursing & Rehabilitation Center, Litchfield Woods and The Kent for sub-acute, geriatric-psych, rehabilitation, dementia and hospice experiences.

The Connecticut League for Nursing Articulation Model for Nurse Educational Mobility was used as a starting point in conversations with various RN-BS or MS programs in the state. The College indicated that the total number of nursing and general education credits to be accepted will be 60 credits; and in most cases 68 credits will transfer, thus exceeding the total recommended in the statewide Articulation Model. The Community College System Office is spear heading discussions with four year institutions to make sure the curriculum continues to meet the articulation model requirements.

Northwestern submitted its program letter of intent to the CT Board of Examiners for Nursing in February 2010. The presentation to the Board of Nursing was on March 3, 2010 and the feasibility study was approved.

Each of the colleges comprising the CT-CCNP is approved individually by the Connecticut Board of Examiners for Nursing with the consent of the Commissioner of the Connecticut Department of Public Health. Each is accredited by the National League for Nursing Accrediting Commission. Northwestern's program will follow these accrediting bodies' processes.

In addition, the nursing program will seek accreditation by the National League of Nursing Accrediting Commission (NLNAC).

Comments from Other Institutions

Fairfield University indicated they support the nursing education programs at the Community Colleges. They are also open to those graduates who choose to advance toward a baccalaureate degree and beyond.

Enrollment

While the proposed program is pending approval, the college has approximately 143 students taking 'pre-nursing' courses at the college.

In 2008-09, there were 32 eligible applications from the area who applied to one of the programs through the Connecticut Community College Nursing Program (CT-CCNP). Twenty-six of these students were placed at three of the AD nursing programs within the state program, five students declined admission and one student was "wait-listed".

In 2009-10, there were 25 eligible applications from the NCCC service area that were placed in three of the community colleges in the state program.

Currently, there is incomplete data available for the 2010-11 admission cohort, but at this time, there are 21 known eligible applicants from the Northwestern service area.

Resource Support

Financial Resources

The College indicated in their Resource Summary they are the recipients of a Federal Grant in the amount of \$350,000. The grant will be used for personnel, equipment, supplies and contracts in the first year of implementation.

The College has also raised private funds and substantial pledges of private donations by various members of the community to support this program. They are projecting that these private funds will substantially contribute to the resources required for this program.

Faculty

Since the proposed program is new, faculty are not in place at this time. Although there has been no nursing program advertising from Northwestern, the community is well aware of the efforts to bring a nursing program to this corner of the state. Through fund-raising efforts, the word of a possible nursing program continues to circulate. Because of this, the college has been receiving inquiries of possible employment opportunities from nurses in the area. The college has on file ten resumes or letters of inquiry from qualified MSN/APRNs.

The College states the following positions will be filled to fully staff the proposed nursing program.

FACULTY POSITION	QUALIFICATIONS	TEACHING SPECIALIZATION	DATE OF EMPLOYMENT
NURSING PROGRAM DIRECTOR	MSN	N/A	JULY 2010
TWO FULL-TIME NURSING INSTRUCTORS	MSN	Medical-Surgical expertise required	OCTOBER 2010
TWO FULL-TIME NURSING INSTRUCTORS	MSN	Obstetric expertise preferred	SEPTEMBER 2011
CLINICAL INSTRUCTOR	MSN	Medical-Surgical expertise required Psychiatric expertise preferred	OCTOBER 2010
PART-TIME NURSING LABORATORY INSTRUCTOR	BSN/MSN	Medical-Surgical expertise required	JANUARY 2011
TWO CLINICAL INSTRUCTORS	MSN	Medical-Surgical expertise required	FALL 2011

Library and Learning Resources

The Library currently maintains a collection of 2,030 books in the areas of medicine, biology, biochemistry, anatomy and physiology. The collection includes items found both in print and electronic formats. In addition, the Library's collection includes 16 print journal and magazine subscriptions in the areas of health, medicine and science; the Library maintains an archive of older issues for each of the current subscriptions. This periodical collection is supplemented with licenses to 12 electronic databases; the Library also provides access to the A.D.A.M. software on all public computers. Finally, the Library collection currently contains 70 audiovisual materials in both DVD and VHS formats in the areas of medicine, biology, biochemistry, anatomy and physiology.

The Resource Summary indicates \$112,715 will be allocated for new nursing learning materials for this program.

Facilities and Equipment

NCCC will house its nursing program in its new Arts and Science Building. There are two designated rooms across the hall from each other in this building. One room, 28 ft x 35 ft, will house four adult patient beds with supporting equipment, as well as a simulation laboratory with both adult and pediatric Nursing Kid simulation manikins. The second room, 23 ft x 31 ft will house the four pediatric beds and supporting equipment.

The simulation lab will be used to supplement learning in all areas of the nursing curriculum. The use of scenarios will be an asset to the patient care experience students obtain in the acute care setting.

The simulation lab will include two simulation manikins, including Sim-Man and the pediatric Nursing Kid. Simulation scenarios will be purchased with these manikins, to enhance the laboratory teaching patient experiences. Additionally, teaching adult manikins and child manikins will be purchased for each adult bed and child unit in the nursing laboratory.

This Arts and Sciences Building houses a lecture hall that accommodates 67 students and has a computer laboratory with a capacity of 24. All of the teaching rooms in the Arts and Science Building are high-tech classrooms including Smartboards, projectors, PCs, DVD and document cameras.

The college currently offers all supporting classes for the nursing program. There is ample space to accommodate additional sections for all of the nursing curriculum required courses.

The College indicates the nursing laboratory equipment will be purchased during fall 2010, with all supplies and equipment in place for a January 2011 start. The College indicates they have allocated \$209,794 for equipment the first year of the program, \$21,440 the second year and \$8,000 the third year.

Northwestern Connecticut Community College
Associate in Science Nursing Curriculum

ADMISSION REQUIREMENTS	<u>Credits</u>		<u>Credits</u>
+BIO*211: Anatomy & Physiology I +ENG*101: English Composition	4 3		
PRE-REQUISITE REQUIREMENTS: +BIO*212: Anatomy & Physiology II	4		
FIRST SEMESTER		SECOND SEMESTER	
NUR*101: Introduction to Nursing Practice +BIO*235: Microbiology +PSY*111: General Psychology	8 4 3	NUR*102: Family Health Nursing NUR*103: Pharmacology for Families Across the Life Span +PSY*201: Life Span Development +SOC*101: Principles of Sociology	8 1 3 3
THIRD SEMESTER		FOURTH SEMESTER	
NUR*201: Nursing Care of Individuals and Families I NUR*202: Pharmacology for Individuals and Families with Intermediate Health Care Needs +ENG*102: English Composition & Literature	9 1 3	NUR*203: Nursing Care of Individuals and Families II NUR*204: Pharmacology for Individuals Families & Groups With Complex Health Care Needs NUR*205: Nursing Management and Trends +Elective: Humanities++ or Fine Arts	8 1 2 3

Total Program Credits - 68 credits (General Education Credits - 30 credits; Nursing Credits - 38 credits)

+ There may be a prerequisite course that must be successfully completed prior to taking the course

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Sacred Heart University

Item: Licensure of a program in Environmental System Analysis and Management, leading to a Master of Science (M.S.) degree

Date: May 19, 2010

Executive Summary

Sacred Heart University is an independent university offering undergraduate and graduate programs in the Catholic intellectual tradition and is accredited by the New England Association of Schools and Colleges (NEASC). The University currently offers a number of master's degree programs including one in Chemistry. The undergraduate programs in Biology and Chemistry represent one of the largest groups of majors at the University with 190 active majors in the fall of 2009. In addition, the University currently offers an undergraduate concentration in Ecology and Conservation Biology. Building on these programs, the University is requesting licensure of a new Master of Science degree program in Environmental System Analysis and Management.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education license a program in Environmental System Analysis and Management, leading to a Master of Science (M.S.) degree, to be offered by Sacred Heart University, for a period of two years until May 31, 2012.

Description

1. Purpose and objectives

- The primary goal of the proposed Master of Science in Environmental System Analysis and Management is to prepare professionals to apply the principles of the natural sciences to confront the challenging environmental problems of the future. The curriculum will provide students with an understanding of, “the complex interactions between the living and non-living portions of the environment and the dramatic role that human activity has on determining the ultimate nature of the environment in which we live.”
- This purpose is consistent with the mission of the University to shape graduates who are, “responsive to social and civic obligations and able to respond to an ever-changing world.”
- It is also consistent with the strategic plan for the College of Arts and Sciences. One of the goals of this plan calls for the, “establishment of new graduate programs within the college, especially in those disciplines with strong undergraduate degrees and student interest.”
- This program was developed to conform to the Council of Graduate Schools’ designation of a “Professional Science Master’s” (PSM). PSM degrees, as opposed to traditional master’s, are intended to provide advanced education directed at training individuals for specific careers outside of academia. They include interdisciplinary courses, research-based internships, and a component devoted to fostering professional skills (e.g., communication, teamwork, project management, ethics and public policy) specific to the intended industry.
- The University anticipates that graduates from this program to gain employment in the “environmental industry as field and lab scientists, project managers and consultants in private industry, non-profit environmental organizations, and local, state, federal, and international government agencies.”
- The proposed program is intended for recent graduates from undergraduate science programs in a variety of fields.

2. Curriculum and Instruction

- The Master of Science in Environmental System Analysis and Management is a 39 credit program (See Appendix A for a course listing) broken down as follows:
 - Core program: 18 credits
 - Professional skills cores: 9 credits
 - Environmental System Analysis and Management electives: 6 credits
 - Environmental System Analysis and Management project: 6 credits
- The curriculum will utilize a case study/problem solving approach that incorporates close teamwork in order to prepare graduates for communication-intensive, teamwork-driven workplace.
- The University plans to make a 5 year combined bachelor and master’s option available to students who plan to major in Chemistry or Biology at Sacred Heart University and complete the Master of Science in Environmental System Analysis and Management. This option requires students to take summer school courses after their freshman and sophomore year so that they complete 115 undergraduate credit hours by the end of their junior year. At that time they begin two years of graduate study (39 credits). Six graduate credits are used to complete the bachelor’s degree requirements and these students have 33 graduate credits to apply to their master’s degree.

3. Administration

- Oversight: The proposed program in Environmental System Analysis and Management will be housed in the Biology Department. The Chairs of the Biology and Chemistry Departments will share the responsibilities of coordination and administration of the graduate program. The University plans on hiring a full-time faculty member whose duties will include that of Program Coordinator to oversee the day-to-day administration of the program.
- Evaluation: The University provided an example of a rubric that will be used to assess student learning outcomes of this program. The program will undergo the University's standard program review process which requires new programs to be reviewed in their second year after implementation, 3 years later and then on a five year cycle.

4. Faculty

- Five current Biology and Chemistry faculty members will be teaching courses in this program. These faculty members all have Ph.D. degrees in areas related to their teaching assignments. Qualified Business department faculty will teach two organizational management courses in the program.
- The University plans on hiring two additional full-time faculty members (an assistant professor and a lecturer) for this program.

5. Adequacy of Resources (Library, learning resources, facilities and equipment)

- The University has recently constructed a new biology teaching laboratory, replaced a greenhouse, and renovated biochemistry teaching facilities and have committed to the additional expansions and renovations that will be required by this program.
- The University will make new resources available to this program through a fund for new program development to provide for initial faculty hires, library resources and facilities and equipment.
- The resource summary provided by the University includes the following expenditures in the first year of the program:
 - Two new full-time faculty: \$135,675
 - A quarter time support staff person: \$11,813
 - New equipment: \$100,000
 - Library: \$16,000
 - Other administrative expenses: \$ 31,000
- The University library already has considerable holdings related to this field of study and plans to add a literature search platform suitable for comprehensive surveys of the environmental literature.
- Based on the projected enrollments below, the University anticipates new revenues to exceed expenses in the second year of operation.

Anticipated enrollments:

	Year 1	Year 2	Year 3
Full time students	8	20	28
Part time students	4	8	12

Circulation

- The University circulated a program summary on December 2, 2009.
- They received a comment from Northwestern Connecticut Community College confirming the need for this type of program for Connecticut students interested in career pathways in environmental fields.

Appendix A: Curriculum for proposed Masters Degree in Environmental System Analysis and Management

- Core program: 18 credits
 - Principles of Environmental Science and Ecosystem Management I
 - Principles of Environmental Science and Ecosystem Management II
 - Environmental Geology, Hydrology and Soils
 - Field Methods and Analysis
 - Environmental Sampling and Analysis
- Professional skills cores: 9 credits
 - GIS for Environmental Analysis
 - Environmental Problem Solving and Impact Assessment
 - Organization Management and Business Communication
- Environmental System Analysis and Management electives: 6 credits
 - Restoration Ecology
 - Ecosystem Ecology
 - Hazardous Waste Management
 - Environmental Policy
 - Special Topics in Environmental Systems Analysis and Management
 - Organizational Behavior
- Environmental System Analysis and Management project: 6 credits
 - Environmental Research Project

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Mitchell College

Item: Accreditation of a program in Homeland Security, leading to the Bachelor of Arts (B.A.) degree

Date: May 19, 2010

Executive Summary

The Board of Governors licensed a program in Homeland Security, leading to a Bachelor of Arts (B.A.) degree, in September of 2007 for a three year period until September 2010. Students were accepted into the program in fall 2007. The College is seeking accreditation of this bachelor's degree program.

The Advisory Committee on Accreditation at its meeting on April 15, 2010, reviewed the proposal and found it to be in compliance with Board of Governors approval standards. The motion to recommend approval to the Board of Governors passed unanimously.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education accredit a Bachelor of Arts (B.A.) degree in Homeland Security, to be offered by Mitchell College for a period of time concurrent with institutional accreditation.

Description

Purpose and Objectives

The Bachelor of Arts in Homeland Security is an interdisciplinary program combining courses in government, history, criminal justice, law, business, the behavioral sciences and humanities. The program prepares students for effective defense of our nation by providing both practical and theoretical understanding of the issues presented by terrorism, both foreign and domestic.

Administration

The program is administered by the Chair of the Department of Law and Justice Policy Studies.

Curriculum

The curriculum consists of 122 credits, distributed as follows: 41 credits general education, 36 credits in core homeland security courses, 33 credits in Cognate, and 12 credits in electives. Curriculum is at the end of the report.

The College has established and maintained a close relationship with its Advisory Board, which includes members from the Stonington Police Department, FBI, United States Coast Guard, New London Police Department, Homeland Security Consultant-Systems, Connecticut Power Grid and Connecticut National Guard.

In Addition, the College has developed Internships in the areas of private security and with federal agencies, including the U.S. Coast Guard and Naval Undersea War College. Summer Internships are planned with the FBI and Secret Service.

Enrollment

The College currently reported 11 students in the program, which is less than projected in the licensure application.

Resource Support

Faculty

The Homeland Security program is currently staffed by three full-time faculty (including the department chair) and one part-time faculty who teach in the program. All faculty hold appropriate credentials.

Library and Learning Resources

The Mitchell College Library's collection is augmented significantly by its membership in Libraries Online, Inc. (LION). The Library supports the information, instruction, research and study needs of the college community. The College has budgeted \$5,000 annually for the Homeland Security program.

Facilities and Equipment

The program does not require any additional facilities.

Homeland Security Major: Plan of Study

Freshman Year – Fall Semester

CJ247	Introduction to Criminal Justice	3
EL101	Introduction to College Writing	3
FS101	First Year Seminar	1
		3
GV119	American Govt. and Politics	3
IS		3
PY105	General Psychology I	3
	Security	3
SO103	Introduction to Sociology	3
	Semester Credits	16

Freshman Year – Spring Semester

GV120	State and Local Government	3
HO__	Homeland Security Elective	3
EL102	College Writing and Research	
		3
IS120	Introduction to Computers & IS	
		3
HO101	Introduction to Homeland Security	
		3
	Semester Credits	15

Sophomore Year – Fall Semester

HI105	U.S. History I	3
	elect)	3
HI115	Develop. of Western Civilization I	3
	Procedure	3
CJ261	Substantive Criminal Law	3
EL230	Effective Speaking	3
	Higher)	3-4
_____	Literature Elective	3
	Semester Credits	15

Sophomore Year – Spring Semester

HI116	Develop. of W. Civ II (Hum	
	elect)	3
	CJ320	Constitutional Criminal
		3
HI106	US History II	3
MA__	Mathematics (MA104 or	
	_____	Lab Science Elective
		4
	Semester Credits	16-17

Junior Year – Fall Semester

CJ301	Comparative Legal Systems	3
	Management	3
HO301	Legal Issues in Homeland Security	3
	Elective	3
CJ315	Public Administration	3
PH223	World Religions	3
_____	Open Elective	3
	Semester Credits	15

Junior Year – Spring Semester

HO305	Homeland Security	
	HO__	Homeland Security
		3
CJ322	Policing in a Free Society	3
_____	Fine Arts Elective	3
_____	Open Elective	3
	Semester Credits	15

Senior Year – Fall Semester Senior Year – Spring Semester

_____	Physical Education Elective	3	CJ325	Law in Society	3
HO441	Senior Seminar in Homeland Security	3	HO442	Homeland Security	
	Internship	6			
CJ445	Ethical/Philo. Found. of Public Policy	3	HO__	Homeland Security	
	Elective	3			
HO410	Terrorism	3	_____	Open Elective	3
_____	Open Elective	3			
	Semester Credits	15		Semester Credits	15
Minimum Credits to Graduate:		122			

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Mitchell College

Item: Accreditation of a program in Communication, leading to the Bachelor of Science (B.S.) degree with concentrations in 1) Interpersonal and Organizational Communication and 2) Performance and Technology

Date: May 19, 2010

Executive Summary

The Board of Governors licensed a program in Communication, leading to a Bachelor of Science (B.S.) degree, with tracks in Behavioral, Performance and Technology, in May of 2007 for a three year period until June 2010. The College accepted students into the program in fall 2007. The College is therefore seeking accreditation of this bachelor's degree program to allow those students to graduate with a degree in Communication.

The Advisory Committee on Accreditation, at its meeting on March 18, 2010, reviewed the proposal and found it to be in compliance with Board of Governors approval standards. The motion to recommend approval to the Board of Governors passed unanimously.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education accredit a Bachelor of Science (B.S.) degree in Communication with concentrations 1) Interpersonal and Organizational Communication and 2) Performance and Technology, effective May 14, 2010, offered by Mitchell College, for a period of time concurrent with institutional accreditation.

Description

Purpose and Objectives

The Bachelor of Science in Communication major is an interdisciplinary program combining courses in communication, business, information technology, behavioral sciences and the humanities. The program balances theory and application, and encourages students to be more effective communicators and thoughtful critics of the media.

Administration

The program is administered by the Department chair

Curriculum

The curriculum consists of 122 credits, distributed as follows: 41 credits general education, 18 credits in core communications courses, 36 credits in specialized concentration, and 27 credits in electives.

The College indicated they have renamed and redesigned the “Tracks” into “Concentrations” to be more in line with current trends in the field of Communication and to meet the specific needs of their students.

The initial application identified three concentrations; Behavioral, Performance, and Technology. To stay current with changes within the communication discipline, the College renamed the areas of study and, where appropriate, consolidated courses of study.

Behavioral was changed to Interpersonal and Organizational Communication because these areas better represent the study and application of communication principles and theories directly related to human behavior in both interpersonal/relational and organizational situations and environments. Also, these titles are consistent with current terminology within the discipline.

Performance and Technology are integral parts of an area that is undergoing considerable change. Production (video and audio), Performance and Technology are often linked together because their respective areas of study/coursework are essential components of media content. The new concentration provides students with sufficient course selection to establish a program that will provide them with both the necessary fundamentals and an opportunity to delve deeper into an area of their choice.

It was also necessary to consolidate the concentrations to allow for an efficient and effective rotation of courses given the number of students majoring in the program, the interest areas they chose to study, and the number of faculty available to support study in this area.

Enrollment

The College projected an enrollment of 14 full-time and part-time students in the first year and 28 full-time and part-time students by year three of the program. The College indicated enrollment of fall 2007 11 students; fall 2008 27 students and fall 2009 11 students.

Resource Support

Faculty

The Communication program is currently staffed by three full time faculty (including the department chair) and six part-time faculty members that teach in the program.

Library and Learning Resources

The Mitchell College Library's collection is augmented significantly by its membership in Libraries Online, Inc. (LION). The Library supports the information, instruction, research and study needs of the college community.

Facilities and Equipment

The program will not require any additional facilities. The College had allocated \$15,000 in support of the program, but provided over \$35,000 of funding for video equipment to support the Production/Performance and Technology concentration.

In 2009, the Communication Department started developing a radio station for Mitchell College. The Department raised \$13,000 through donations and \$8,000 from the College.

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Quinnipiac University

Item: Accreditation of a program in Occupational Therapy, leading to the Master of Science degree (M.S.) and a Certificate of Advanced Graduate Study to be offered online

Date: May 19, 2010

Executive Summary

Quinnipiac University is licensed until June 2011 to offer a program in Occupational Therapy (M.S. and CAGS) online. Quinnipiac University currently offers an accredited Master of Occupational Therapy program in a traditional format for the entry level. The primary intent of the Post-Professional Masters (M.S.) and the Certificate of Advanced Graduate Study (CAGS) is to provide an educational program that allows experienced therapists who hold bachelors degrees to meet the current minimal standard of a master's degree for entry into the profession. As of 2007, all Occupational Therapists entering the field must have at least an entry-level master's degree. Thus, those Occupational Therapists with a bachelor's degree, even though they have experience, may be at a disadvantage when competing for some employment opportunities. A Post-Professional Master's degree, for those practitioners with a bachelor's degree, and a Certificate of Advanced Graduate Study for those who have an entry-level master's degree in Occupational Therapy, provides the workforce, clients, and service communities with knowledgeable, experienced Occupational Therapists to meet current and future needs.

The University will confer this degree in October to students who complete their degree requirements in August 2010. There were no stipulations at the time of licensure.

The Advisory Committee on Accreditation, at its meeting on April 15, 2010 reviewed this proposal and found it to be in compliance with Board of Governors approval standards. The committee voted unanimously to recommend approval to the Board of Governors.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education accredit a program in Occupational Therapy, leading to the Master of Science degree (M.S.) and a Certificate of Advanced Graduate Study in Occupational Therapy, each offered online by Quinnipiac University for a period of time concurrent with institutional accreditation.

Description

Purpose and Objectives

The objectives of the online Post-Professional Master of Science in Occupational Therapy and Certificate of Advanced Graduate Study program are to:

- provide online educational opportunities that build upon the Occupational Therapy student's clinical experience
- facilitate research opportunities which promote the development and utilization of evidence-based research that will guide practice and contribute to the body of knowledge in the profession of Occupational Therapy
- facilitate a sense of commitment in the student to continuing education, professional development, and lifelong learning in current and future practice trends for the profession

Administration

A Program Director oversees the Online Post-Professional Master of Science in Occupational Therapy and the Certificate of Advanced Graduate Study in Occupational Therapy. The Program Director reports to the Chair of Occupational Therapy and the Associate Vice-President for Online Programs. The Dean of the School of Health Sciences will oversee the evaluation process of the Program Director and the program itself.

Curriculum

The master's degree requires 32 credits and the certificate 9 credits. No clinical affiliations are required as part of the post professional program.

A sample plan of study is attached.

Enrollment Projections

The University projected an enrollment of 22 students in the first year, 32 in year two, and 42 students by year three of the program. Actual enrollments are provided below:

Enrollment Status	0-15 credits	16-32 credits
Full-time	13	13
Part-time	2	0
Total	15	13

The first class of 13 students who began the program in January of 2009 is expected to be eligible for graduation in October 2010.

The University states that it intends to enroll one class per year with a goal of 20 students per cohort.

Resource Support

Faculty

The University identified eight full-time faculty members who teach in the program and are appropriately credentialed.

Library and Learning Resources

Since the time of licensure, the University added instructional support for this program. The University now uses streaming video allowing for production and delivery of video for learning and teaching. Students in the program now receive a flash drive, web camera and headsets to support the technology use for the program. Additionally, students now have dedicated distance access to all electronic resources and access to interlibrary loan. One librarian is specifically assigned to the online program in order to assist students with accessing databases and researching topics.

Orientation is offered for new students on campus and via use of video capture embedded in an electronic platform (Blackboard) for those who cannot come to campus. Beginning in the fall of 2010, there will be a campus-wide collaborative orientation on campus with break-out sessions that are specific to the needs of students in each program. Some of the shared topics for online students include familiarity with use of software and electronic resources, information concerning financial aid, academic integrity, the learning center and internet security.

Facilities and Equipment

The University has a technology infrastructure in place for online programs. This infrastructure includes the University's Enterprise Resource Planning System, Datatel for administrative processes, Microsoft email for communication, and the Blackboard learning management system. Each system runs in a backed-up environment with redundancy and/or high availability.

Attachment

All courses are required for a Master of Science in Occupational Therapy (32 credits)

* Courses required for a Certificate of Advanced Graduate Study (9 credits)

** Elective courses for a Certificate of Advanced Graduate Study (6-8 credits)

Evidence Based Practice

OT 600*	Evidence Based Practice in OT	3 credits
OT 604**	Directed Study in Evidence Based Practice	3 credits

Research

OT 601**	Research Methods I	4 credits
OT 603**	Research Methods II (OT 601 is a prerequisite)	4 credits
OT 606*	Professional Paper or Project	3 credits
OT 609**	Scholarly Seminar (OT 601 and 603 are prerequisites)	3 credit

Current and Future Trends in Practice

OT 602*	Practice Trends in OT	3 credits
OT 605**	Directed Study in Clinical Practice	3 credits
OT 607**	Educational Leadership	3 credits
OT 608**	Entrepreneurial Concepts for OT	3 credits

Fall, Year One

OT 600*	Evidence Based Practice in OT	3 credits
OT 601**	Research Methods I	4 credits

Spring, Year One

OT 602*	Practice Trends in OT	3 credits
OT 603**	Research Methods II (OT 601 is a prerequisite)	4 credits

Summer, Year One

OT 604**	Directed Study in Evidence Based Practice (OT 600 is a prerequisite)	3 credits
OT 605**	Directed Study in Clinical Practice	3 credits

Fall, Year Two

OT 606*	Professional Paper or Project	3 credits
OT 607**	Educational Leadership	3 credits

Spring, Year Two

OT 608**	Entrepreneurial Concepts for OT	3 credits
OT 609**	Scholarly Seminar (OT 601 and 603 are prerequisites)	3 credits

Assignments will be building toward an increased base of investigation, presentations, and publication in a variety of formats in order to share practice-based expertise with the professional community.

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Lincoln College of New England

Item: Modification of a program Health Information Technology offered on ground, leading to an Associate of Applied Science (A.A.S.) degree

Date: May 19, 2010

Executive Summary

Lincoln College of New England is requesting modification of their Associate's degree program in Health Information Technology. Currently this program is approved to be offered on ground and the College is requesting approval to offer the program online. This will be the College's first program to be offered completely online.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education approve a program modification to the Associate of Applied Science degree (A.A.S.) in Health Information Technology offered at Lincoln College of New England to offer the program online.

Description

Rationale for modifications

- In a separate application, the College is requesting licensure of a new baccalaureate program in Health Information Administration (HIA) which will build on the current associate's degree program in Health Information Technology (HIT).
- The College is seeking to offer the new baccalaureate program both on ground and online and, therefore, requires approval to offer the first 2 years (the A.A.S in HIT) online.

Summary of modifications

- The primary modification requested is the delivery method from on ground to online.
- The curriculum for the HIT program has also been modified slightly;
 - The program is now 62 credits rather than 65-66.
 - The Communication course was replaced with an elective in the Behavioral and Social Sciences in order to align with the College's new general education curriculum.
 - A course in Principles of Management was eliminated. Rather than include a basic management course in the program, the department felt it was more useful to the students to blend the necessary managerial skills within specific courses by creating lab assignments in these courses that reflect the skills required in HIT positions.

Summary of Impact of Change on Board of Governor's Standards

Congruence with Mission:

- The program delivery modification for this program is consistent with the strategic direction of the new College which seeks to offer more of its programs online.

Curriculum:

- The HIT program is accredited by the Council for the Accreditation of Health Informatics and Information Education (CAHIIM).
- The primary question regarding this change is the College's capacity to offer online courses given that this will be the first program offered wholly on line at the College (see below).

Financial Resources:

- In a March 15, 2010 letter to Dr. Brittingham, president of the New England Association of Schools and Colleges, Shaun McAlmont, President and CEO of Lincoln Education Services, conveyed his company's commitment to providing the financial resources to support online instruction.

Evidence of Capacity to Offer Online Programs

- On February 11, 2010 The Department of Higher Education made a site visit to review the College's application for a new baccalaureate program in Health

Information Management to build on this A.A.S. program and to be delivered both online and on ground.

- The visiting team consisted of:
 - President Martha McLoeod of Asnuntuck Community College and an Advisory Committee on Accreditation member;
 - An out-of-state curriculum specialist, Donna Abbondandolo from Long Island University (who was unable to join the team for the campus visit but conducted a paper review of the curriculum); and
 - Department of Higher Education staff members Patricia Santoro and Carlota Schechter.
- During the visit Chase Binz, the Vice President for On-line Programs and Services, described the ANGEL platform for online instruction and answered the team's questions.
- Although the College had not yet offered an entire program online it was clear that the institution was actively increasing its capacity to offer individual courses online.
- The team was particularly impressed with the Evergreen faculty training module and with the tools available through Angel to evaluate faculty.
- In addition the team responded positively to the College's policy to limit the number of students to 10 students for the first time an instructor teaches an online course.
- The College reported that after the first offering online courses are currently capped at 15. The visiting team encouraged the College to maintain this class size noting that small class sizes have been part of the past strength of Briarwood College.
- The College provided the team with a March 15, 2010 report submitted to the New England Association of Schools and Colleges on their online capacity ("Report on the Establishment of Academic Programming Offered Through Distance Education").
- This report provides further detail about the technical infrastructure of the ANGEL platform.

STAFF REPORT: COMMISSIONER'S CONSENT CALENDAR

Institution: Gibbs College

Item: Change of Institutional Name from Gibbs College to Sanford Brown College

Date: May 19, 2010

On March 9, 2010 the College's Board of Trustees voted unanimously to change the name of the college from Gibbs College to Sanford Brown College.

The College experienced considerable confusion resulting from the initial announcement of the closing of their campuses and subsequent discontinuation of the teach-out at Farmington campus. As they embarked on the rebuilding process of Gibbs College, they determined the need for the name change. Additionally, the College's new direction that focuses on more allied health programs is more closely aligned with Sanford Brown College. The name change to Sanford Brown College removes additional confusion over the historical program offerings associated with Gibbs College.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education approve the institution's change of name from Gibbs College to Sanford Brown College.

STAFF REPORT: ACADEMIC AFFAIRS AND PLANNING

Institution: University of Connecticut
Item: Licensure and accreditation of a program in Business Administration, leading to a Bachelor of Science (B.S.) degree
Date: May 19, 2010

Executive Summary

The School of Business at the University of Connecticut offers academic programs at the bachelors, masters, doctorate and advanced certificate levels and has programs on 4 campuses. The University currently offers a Bachelor of Science degree in Business and Technology at their Hartford, Stamford, and Waterbury campuses and is requesting approval of a general business degree on these regional campuses.

The Advisory Committee on Accreditation, at its meeting on April 15, 2010, reviewed this proposal and found it to be in compliance with Board of Governors approval standards. The Committee approved a motion to recommend both licensure and accreditation to the Board of Governors. This motion passed with one abstention.

Commissioner's Recommendation

It is recommended that the Board of Governors for Higher Education license and accredit a program in Business Administration at the University of Connecticut, leading to a Bachelor of Science (B.S.) degree, for a period of time concurrent with institutional accreditation.

Description

1. Purpose and objectives

- The primary goal of the proposed Bachelor of Science in Business Administration is to prepare business leaders who are effective general managers with expertise in managerial communications, finance, management and marketing.
- The proposed interdisciplinary general business major will provide a second choice of a major for regional campus students interested in business.
- This major will prepare students for broad based careers in nonprofit and public sector organizations as well as for profit businesses.
- The clientele for this degree are regional campus students as well as transfer students from the Community Colleges.

2. Curriculum and Instruction

- The University of Connecticut School of Business has been accredited by AACSB International (the Association to Advance Collegiate Schools of Business) since 1958.
- The Bachelor of Science in Business Administration is a 120 credit program (see Appendix A for a course list) broken down as follows:
 - General education and non business electives: 60 credits
 - Business core curriculum: 31 credits
 - Extended core requirements: 9 credits
 - Business electives: 9 credits
 - Business or non business electives: 11 credits
- The core business curriculum is similar to the core for the Business and Technology major; it requires additional work in business writing and in career development.
- The extended core consists of advanced courses in finance, marketing and management.

3. Admissions and Transfer

- Students can apply to be accepted into this program after completing 40 credits and achieving a minimum cumulative grade point average of 3.0.
- Community College students may follow a Business Transfer Pathway which guarantees admission to students who complete their Associate Degree with a grade point average of 3.0. Community College students with a grade point average lower than 3.0 must successfully complete a minimum of 12 credits at the University of Connecticut with a 3.0 GPA prior to applying.

4. Administration

- The Associate Dean of the School of Business, Dr. Linda Klein, is responsible for the academic leadership of the program. The administrative leadership of the program is the responsibility of Glen Richardson, University Director, School of Business, Regional Campuses.

5. Faculty

- The University has provided a list of 22 faculty members who they anticipate will be teaching in this program. Many of these faculty members have doctoral degrees in the field and those without doctoral degrees have an MBA or other appropriate masters degree.

- 6. Adequacy of Resources** (Library, learning resources, facilities and equipment)
- The University reports that this new program is resource neutral.
 - They plan to make more efficient use of business faculty allocated for the regional campuses as there is currently underutilized space in the core business courses offered for the Business and Technology major.
 - Most of the extended core courses have been offered as electives on the regional campuses over the past five years.
 - The regional campuses in Hartford, Stamford and Waterbury have adequate facilities, equipment and library resources to deliver this new major.

7. Circulation

- The University circulated a program summary on February 24, 2010 and asked for comments by March 9, 2010.
- There were no responses to this circulation.

Appendix A: Curriculum for proposed Bachelor of Science in Business Administration

- General education and non business electives: 60 credits in non business coursework (no more than 9 credits in economics and 6 credits in statistics may be counted towards this requirement.)
 - Computer technology competency
 - Second language competency
 - Writing competency
 - Quantitative competence (math sequence and statistics)
 - Arts and Humanities (History and Philosophy)
 - Social Sciences (Economics and Communication)
 - Science and Technology
 - Diversity and Multiculturalism
- Business core curriculum: 31 credits
 - Principles of Financial Accounting
 - Principles of Managerial Accounting
 - Business Communications
 - Career Development in Business
 - Legal and Ethical Environment of Business
 - Financial Management
 - Managerial and Interpersonal Behavior
 - Strategic Analysis
 - Introduction to Marketing Management
 - Business Information Systems
 - Operations Management
- Extended core requirements: 9 credits
 - Investment & Security Analysis OR Applications in Financial Management
 - Principles of Global Business OR Thinking, Acting & Managing Entrepreneurially
 - Consumer Behavior OR Marketing Research
- Business electives: 9 credits
 - 3 advisor-approved business electives at the 3000/4000 level
- Business or non business electives: 11 credits